

# Online graph coloring

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CSC2421-presentation2



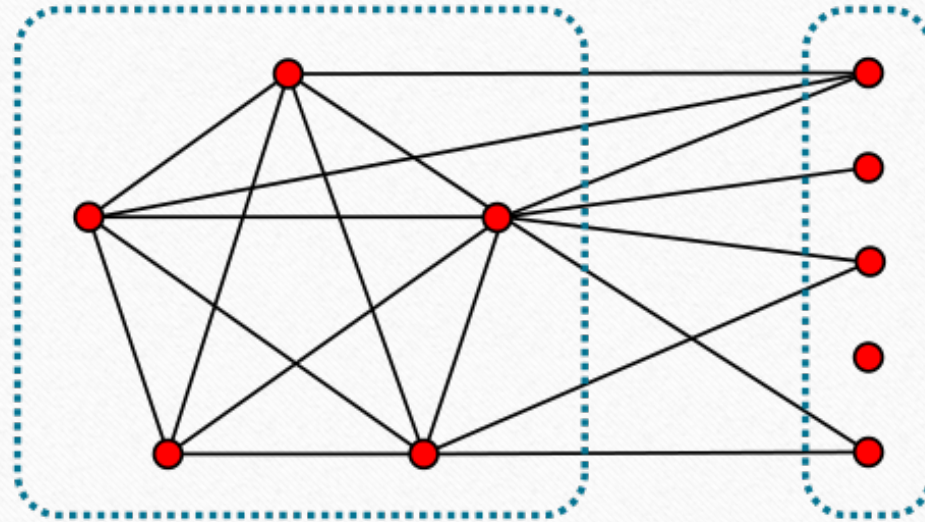
# First Fit

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- Use the smallest numbered color that does not violate the coloring requirement.
- FF produces a **maximal stable** sequence partition  $V(G) = S_1 \cup \dots \cup S_k$ , where  $S_i$  is a maximal nonempty stable set in the subgraph induced by  $S_i \cup \dots \cup S_k$
- $\chi_{FF}(G) \leq (2 + \varepsilon) \chi(G)$  holds for almost all graphs.

# Upper Bound for Online First Fit Coloring

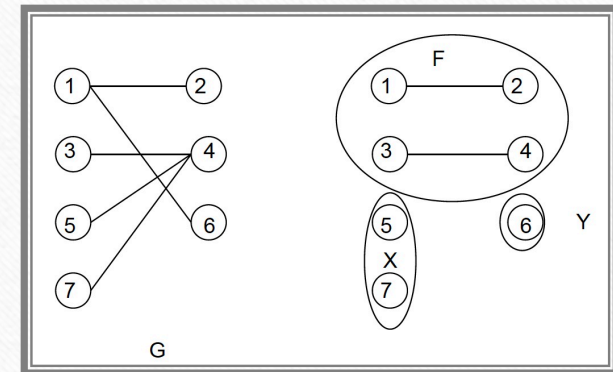
- **Theorem:** If  $G$  is a split graph (the union of a clique and a stable set with arbitrary edges between them), then  $\chi_{FF}(G) \leq \omega(G) + 1$ .





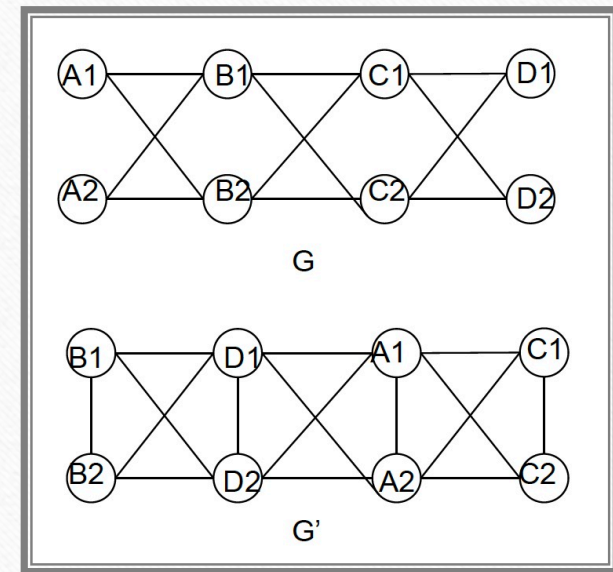
# Upper Bound for Online First Fit Coloring

- **Theorem:** If  $G$  is a bipartite graph,  $\bar{G}$  is the complement of  $G$ , then  $\chi_{FF}(\bar{G}) \leq \frac{3}{2}\omega(\bar{G})$ .
  - A maximal clique sequence partition of  $G$  consists of a set  $F$  of independent edges and a stable set  $X \cup Y$  of the nonsaturated vertices ( $X$  and  $Y$  are, respectively, in the first and second bipartition class of  $G$ ).
  - $|F| + |X| \leq \omega(\bar{G}), |F| + |Y| \leq \omega(\bar{G}),$   
 $|X \cup Y| = |X| + |Y| \leq \omega(\bar{G})$
  - $\chi_{FF}(\bar{G}) = |F| + |X \cup Y| \leq \frac{3}{2}\omega(\bar{G}),$



# Upper Bound for Online First Fit Coloring

- Why  $\frac{3}{2} \omega(\bar{G})$  is a tight bound?
  - Let  $V(G) = A \cup B \cup C \cup D$ , where  $A, B, C$  and  $D$  are pairwise disjoint stable sets of  $k$  vertices.
  - $A \cup B, B \cup C$  and  $C \cup D$  induced complete bipartite subgraph.
  - $\chi_{FF}(\bar{G}) = 3k$  and  $\omega(\bar{G}) = 2k$ .





# Upper Bound for Online First Fit Coloring

- **Theorem:** If  $G$  is a chordal graph,  $\bar{G}$  is the complement of  $G$ , then  $\chi_{FF}(\bar{G}) \leq 2\omega(\bar{G}) - 1$ .
  - Let  $C_1, \dots, C_k$  be a first fit clique partition of  $G$ .  $C_i$  is a maximal clique in the subgraph of  $G$  induced by  $C_i \cup \dots \cup C_k$ . Want to show  $\omega(\bar{G}) \geq \frac{k+1}{2}$  by induction. Let  $G' = G \setminus V(C_1)$ .  $C_2, \dots, C_k$  be a first fit clique partition of  $G'$ .
  - Case1: If  $G'$  has more components than  $G$ . Easily to use IH.
    - Proof from the presenter: Let  $G' = G_1 \cup \dots \cup G_m$
    - $\omega(\bar{G}') = \omega(\bar{G}_1) + \dots + \omega(\bar{G}_m) \geq \frac{k_1+1}{2} + \dots + \frac{k_m+1}{2}$  where  $k_1 + \dots + k_m = k - 1$ .
$$= \frac{k_1 + \dots + k_m + m}{2} = \frac{k-1+m}{2} \geq \frac{k+1}{2} \text{ as wanted.}$$
  - Case2 : Otherwise.  $G$  has a simplicial vertex whose neighborhoods induce a clique in  $C_1$ . This vertex can be added to any maximum independent set of  $G'$ , and  $\omega(\bar{G}) \geq \omega(\bar{G}') + 1 \geq \frac{(k-1)+1}{2} + 1 > \frac{k+1}{2}$ .

# No Bounded Algo For Chordal

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- **Theorem:** For every positive integer  $n$  there exists a tree  $T_n$  such that  $\chi_A(T) \geq n$  holds for every online algorithm  $A$ .
  - Base case:  $n=1$ . Let  $T_1$  be a single vertex tree.
  - $n>1$ . Assume  $T_1, \dots, T_{n-1}$  have been defined.
    - Make copies of  $T_1, \dots, T_{n-1}$  and in all copies we distinguish distinct vertices as roots.  $T_n$  is formed as the union of all these rooted copies of  $T_1, \dots, T_{n-1}$  plus a new vertex  $x$  joined to every root.



# Deterministic Online Algo for Chordal

**Theorem 1.** *Let  $d \in \mathbb{N}$  with  $d \geq 2$  be arbitrary. For every deterministic online algorithm  $\mathcal{A}$  and every  $n \in \mathbb{N}$  with  $n \geq 2d^2$ , there exists a  $n$ -vertex chordal graph  $G$  with chromatic number  $\chi(G) = d$  such that  $\mathcal{A}$  uses  $\Omega(d \cdot \log n)$  colors to color  $G$ .*

**Lemma 1.** *Let  $d \in \mathbb{N}$  with  $d \geq 2$  be arbitrary. For every deterministic online algorithm  $\mathcal{A}$  and every  $k \in \mathbb{N}$ , there exists a chordal graph  $G_k$  having chromatic number  $\chi(G_k) = d$  and consisting of  $n_k \leq d2^k$  vertices such that  $\mathcal{A}$  is forced to use at least  $c_k \geq (d - 1)k/4$  colors to color  $G_k$ .*

1. ADV constructs a chordal  $G_k$  recursively.
2.  $G_k$  has a forest representation. In every tree  $T$  of  $G_k$ , each tree node represents a clique of size  $d/2$  in  $G_k$ . So, tree node  $\neq$  vertex.
3. If two tree nodes  $u_T$  and  $v_T$  are connected by a tree edge in  $T$ , then any two vertices  $u \in u_T$  and  $v \in v_T$  are connected by an edge in  $G_k$ . Hence  $u_T$  and  $v_T$  form a clique of size  $d$  in  $G_k$ .



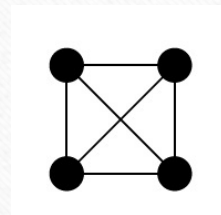
# Deterministic Online Algo for Chordal

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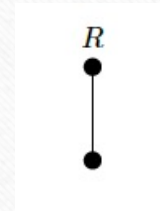
- Notations:

- Each tree  $T$  in  $G_k$  has a root node. Let  $r(T)$  be the set of these  $d/2$  vertices.
- Let  $r(G_k)$  be the union of  $r(T)$ .
- For any subset  $V'$  of the vertices of  $G_k$ , let  $C_A(V')$  be the set of colors used to color  $V'$ .

- Example:



Real  $G$



Tree representation of  $G$ .

# Deterministic Online Algo for Chordal

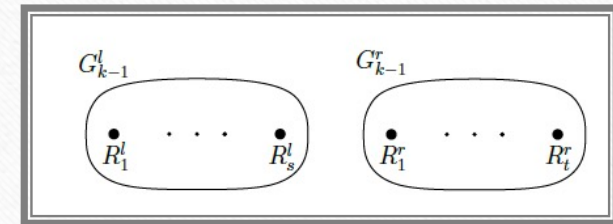
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- For increasing  $k$ , the following invariants will be maintained.
  - (1) Algorithm  $\mathcal{A}$  uses at least  $\frac{d}{4} \cdot k$  colors for the root vertices of  $G_k$ , i.e.  $|\mathcal{C}_{\mathcal{A}}(r(G_k))| \geq \frac{d}{4} \cdot k$ .
  - (2)  $G_k$  is a union of connected components, each of which can be represented by a tree  $T$ . Each tree node is a clique of size  $d/2$ . Every tree  $T$  has a distinguished root node containing a set  $r(T)$  of  $d/2$  root vertices in  $G_k$ .
  - (3)  $G_k$  is chordal.
  - (4) The maximum clique size is  $\omega(G_k) = d$ .
  - (5) The number of vertices satisfies  $n_k \leq \frac{d}{2} \cdot (2^{k+1} - 1)$ .



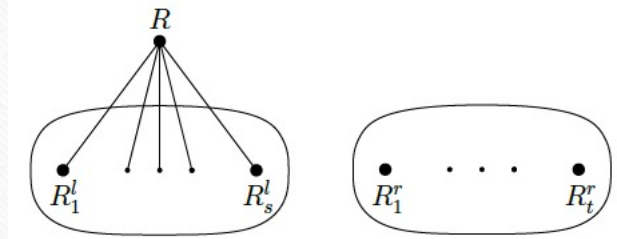
# Deterministic Online Algo for Chordal

- Base graph  $G_1$ : Clique of size  $d$ . Only one tree. Pick any  $d/2$  vertices be the root node and the remaining  $d/2$  vertices be the second tree node.
- $k > 1$ :
  - Invariant (1) implies  $|C_A(r(G_{k-1}^l))| \geq (k-1)d/4$  and  $|C_A(r(G_{k-1}^r))| \geq (k-1)d/4$
  - Case1: If  $|C_A(r(G_{k-1}^l) \cup r(G_{k-1}^r))| \geq kd/4$ ,  $G_k = (G_{k-1}^l) \cup (G_{k-1}^r)$ . No further vertices added.



# Deterministic Online Algo for Chordal

- $k > 1$ , Case2: If  $|C_A(r(G_{k-1}^l) \cup r(G_{k-1}^r))| < \frac{dk}{4}$ . Add new root node  $R$ . For every vertex of  $R$  there is an edge to every vertex in  $R_i^l$ . There is a tree edge between  $R$  and every  $R_i^l$ .
  - Let  $q = |C_A(r(G_{k-1}^r)) \setminus C_A(r(G_{k-1}^l))| = |C_A(r(G_{k-1}^r) \cup r(G_{k-1}^l))| - |C_A(r(G_{k-1}^l))| < \frac{dk}{4} - \frac{d(k-1)}{4} = \frac{d}{4}$
  - $C_A(r(G_{k-1}^r)) = [C_A(r(G_{k-1}^l)) \cap C_A(r(G_{k-1}^r))] \cup [C_A(r(G_{k-1}^r)) \setminus C_A(r(G_{k-1}^l))]$
  - $R$  is a clique of size  $\frac{d}{2}$ , must use at least  $\frac{d}{2} - q > \frac{d}{4}$  colors not in  $C_A(r(G_{k-1}^l))$ .
  - $|C_A(r(G_k))| = |C_A(R \cup r(G_{k-1}^r))| \geq \frac{d}{4} + \frac{d(k-1)}{4} = \frac{dk}{4}$
- Can create a connected graph by adding a final vertex  $v_f$  that has an edge to exactly one root vertex in each of the components.
  - $\#vertices \leq \frac{d}{2}(2^{k+1} - 1) + 1 \leq d2^k$





# Deterministic Online Algo for Chordal

**Lemma 1.** *Let  $d \in \mathbb{N}$  with  $d \geq 2$  be arbitrary. For every deterministic online algorithm  $\mathcal{A}$  and every  $k \in \mathbb{N}$ , there exists a chordal graph  $G_k$  having chromatic number  $\chi(G_k) = d$  and consisting of  $n_k \leq d2^k$  vertices such that  $\mathcal{A}$  is forced to use at least  $c_k \geq (d-1)k/4$  colors to color  $G_k$ .*

- (1) Algorithm  $\mathcal{A}$  uses at least  $\frac{d}{4} \cdot k$  colors for the root vertices of  $G_k$ , i.e.  $|\mathcal{C}_{\mathcal{A}}(r(G_k))| \geq \frac{d}{4} \cdot k$ .
- (2)  $G_k$  is a union of connected components, each of which can be represented by a tree  $T$ . Each tree node is a clique of size  $d/2$ . Every tree  $T$  has a distinguished root node containing a set  $r(T)$  of  $d/2$  root vertices in  $G_k$ .
- (3)  $G_k$  is chordal.
- (4) The maximum clique size is  $\omega(G_k) = d$ .
- (5) The number of vertices satisfies  $n_k \leq \frac{d}{2} \cdot (2^{k+1} - 1)$ .



# Deterministic Online Algo for Chordal

**Theorem 1.** *Let  $d \in \mathbb{N}$  with  $d \geq 2$  be arbitrary. For every deterministic online algorithm  $\mathcal{A}$  and every  $n \in \mathbb{N}$  with  $n \geq 2d^2$ , there exists a  $n$ -vertex chordal graph  $G$  with chromatic number  $\chi(G) = d$  such that  $\mathcal{A}$  uses  $\Omega(d \cdot \log n)$  colors to color  $G$ .*

- Given  $d$  and  $n$ , let  $k = \lfloor \log \frac{n}{d} \rfloor$ , by lemma, there exists a chordal  $G_k$  with  $n_k \leq d2^k$  vertices, chromatic number  $d$  and algo uses at least  $c_k \geq \frac{(d-1)k}{4}$  colors.
- $n_k \leq d \frac{n}{d} \leq n$ , add  $n - n_k$  vertices, all of which have one edge to an arbitrary vertex of  $G_k$ .
- $d \leq \sqrt{n/2}$  since  $n \geq 2d^2$ . So  $k \geq \log n - \log d - 1 \geq \log n - \frac{1}{2} \log \frac{n}{2} - 1 = \log \frac{n}{2} - \frac{1}{2} \log \frac{n}{2} = \frac{1}{2} \log \frac{n}{2}$
- Since  $n \geq 2d^2 \geq 4$ ,  $\log \frac{n}{2} \geq \frac{1}{2} \log n$
- Since  $d \geq 2$ ,  $c_k \geq \frac{dk}{8} \geq \left(\frac{1}{2} \log \frac{n}{2}\right) \frac{d}{8} \geq \frac{d}{16} \left(\frac{1}{2} \log n\right) = \frac{d \log n}{32}$



# Lower Bound for Deterministic Online Coloring

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- **Theorem:** For every **deterministic online algorithm** there exists a  $\log n$ -colorable graph for which the algorithm uses at least  $2n/\log n$  colors. The performance ratio of any deterministic online coloring algorithm is at least  $\frac{2n}{\log^2 n}$ .
  - $Avail(v_t)$  = admissible colors consists of the colors not used by its pre-neighbors
  - $Hue(b) = \{Col(v_i) : Bin(v_i) = b\}$
  - $H$  is a hue collection set of all nonempty hues.
  - $[k]$  is the set  $\{1, 2, \dots, k\}$ .
  - $[k]^{\binom{k}{2}}$  is the collection of all subsets of  $[k]$  of size  $k/2$ .
  - Algo colors with bins and the adversary with colors.

# Lower Bound for Deterministic Online Coloring

- Observation1:  $Hue(Bin(v_t)) \subseteq Avail(v_t)$
- Observation2: If  $m$  distinct bin/color pairs have been assigned after round  $t$ , then at least  $m / (\max_{i \leq t} |Avail(v_i)|)$  bins have been used.
- Observation3: If  $Col(v_t) \in Avail(v_t) - Hue(Bins(v_t))$  then progress is made.

- Adversary Strategy:
  - Let  $n = \frac{k}{2} \binom{k}{k/2}$ , while  $t \leq n$ :
  - $Avail(v_t) = any([k]^{k/2} - H)$
  - $Adj^-(v_t) = \{v_i : Col(v_i) \notin Avail(v_t) \text{ and } i < t\}$
  - $Col(v_t) = any(Avail(v_t) - Hue(Bin(v_t)))$

- $Avail(v_t)$  cannot equal to any bin hue, and by observation1,  $Col(v_t)$  must be defined.
- Each round make progress by observation 2.
- By observation3, at least  $n / (k/2)$  bins uses. The number of colors is at most  $k$ , so performance ratio is at least  $2n/k^2$ , where  $k = \log n$ .