## **Great Ideas in Fair Division**

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## Algorithms Making Decisions





## **COMPUTATIONAL SOCIAL CHOICE**

# Algorithms for aggregating individual preferences towards collective decisions



## **REASONABLE COLLECTIVE DECISIONS**



## CAKE CUTTING

- Formally introduced by Steinhaus [1948]
- *n* people ("agents")
- Cake modeled as [0,1]
- Allocate the cake
  - ∘  $A_i \subseteq [0,1]$  given to agent *i* 
    - E.g.,  $A_i = [0.1, 0.3] \cup [0.5, 0.9]$  is allowed
  - $A_i \cap A_j = \emptyset$  for all i, j



## AGENT VALUATIONS

- Each agent *i* has an integrable density function  $f_i: [0,1] \rightarrow \mathbb{R}_+$
- $v_i(X) = \int_{x \in X} f_i(x) dx$
- Normalization:  $\int_0^1 f_i(x) dx = 1$ 
  - Without loss of generality

## EXAMPLE

• Value density functions



- Agent 1 wants [0, <sup>1</sup>/<sub>3</sub>] uniformly and does not want anything else
- Agent 2 wants the entire cake uniformly
- Agent 3 wants [2/3, 1] uniformly and does not want anything else

## EXAMPLE

• Value density functions



• Consider the following allocation

• 
$$A_1 = [0, 1/9] \Rightarrow v_1(A_1) = 1/3$$

• 
$$A_2 = [1/9, 8/9] \Rightarrow v_2(A_2) = 7/9$$

∘ 
$$A_3 = [^8/_9, 1] \Rightarrow v_3(A_3) = ^1/_3$$

- Each of three agents is getting at least one-third of their value, which seems fair in some sense
- But agent 1 and 3 are envious of agent 2, and would want to get his allocation instead

## EXAMPLE

• Value density functions



• Consider the following allocation

• 
$$A_1 = [0, 1/6] \Rightarrow v_1(A_1) = 1/2$$

• 
$$A_2 = [1/_6, 5/_6] \Rightarrow v_2(A_2) = 2/_3$$

• 
$$A_3 = [5/_6, 1] \Rightarrow v_3(A_3) = 1/_2$$

 Now agent 1 and 3 are not envious of what agent 2 is given, even though agent 2 has more utility than them

## COMPLEXITY

- Inputs are functions
  - Infinitely many bits may be needed to fully represent the input
  - Query complexity is more useful
- Robertson-Webb Model
  - Eval<sub>i</sub>(x, y) returns  $v_i([x, y])$
  - $\operatorname{Cut}_i(x, \alpha)$  returns *y* such that  $v_i([x, y]) = \alpha$



## THREE CLASSIC FAIRNESS DESIDERATA

- Proportionality (Prop):  $\forall i \in N$ :  $v_i(A_i) \ge 1/n$ 
  - Each agent should receive her "fair share" of the utility.
- Envy-Freeness (EF):  $\forall i, j \in N : v_i(A_i) \ge v_i(A_j)$ 
  - No agent should wish to swap her allocation with another agent.
- Envy-freeness implies proportionality (Why?)

## Proportionality

## PROPORTIONALITY : n = 2 AGENTS

#### • **C**UT-AND-CHOOSE

- Agent 1 cuts the cake at x such that  $v_1([0, x]) = v_1([x, 1]) = 1/2$
- Agent 2 chooses the piece that she prefers.
- Elegant protocol
  - Envy-free for 2 agents
  - Needs only one cut and one eval query (optimal)
- More agents?

## **PROPORTIONALITY: DUBINS-SPANIER**



Animation Credit: Ariel Procaccia

## **PROPORTIONALITY: DUBINS-SPANIER**

#### • DUBINS-SPANIER

- Referee starts a knife at 0 and moves the knife to the right.
- Repeat: When the piece to the left of the knife is worth 1/n to an agent, the agent shouts "stop", receives the piece, and exits.
- When only one agent remains, she gets the remaining piece.
- Can be implemented easily in Robertson-Webb model
  - When [x, 1] is left, ask each remaining agent i to cut at  $y_i$  so that  $v_i([x, y_i]) = 1/n$ , and give agent  $i^* \in \arg\min_i y_i$  the piece  $[x, y_{i^*}]$
- Question: What is the asymptotic query complexity as a function of the number of agents *n*?

## **COMPLEXITY OF PROPORTIONALITY**

- Theorem [Evan and Paz, 1984]:
  - There is a protocol that returns a proportional allocation in O(*n* log *n*) queries in the Robertson-Webb model.
- Theorem [Edmonds and Pruhs, 2006]:
  - Any protocol returning a proportional allocation needs  $\Omega(n \log n)$  queries in the Robertson-Webb model.

## **Envy-Freeness**

## **ENVY-FREENESS : FEW AGENTS**

- n = 2 agents : CUT-AND-CHOOSE (2 queries)
- n = 3 agents : SELFRIDGE-CONWAY (14 queries)

Gets complex pretty quickly!

Suppose we have three players P1, P2 and P3. Where the procedure gives a criterion for a decision it means that criterion gives an optimum choice for the player.

- 1. P1 divides the cake into three pieces he considers of equal size.
- 2. Let's call A the largest piece according to P2.
- 3. P2 cuts off a bit of A to make it the same size as the second largest. Now A is divided into: the trimmed piece A1 and the trimmings A2. Leave the trimmings A2 to the side for now.
  - If P2 thinks that the two largest parts are equal (such that no trimming is needed), then each player chooses a part in this order: P3, P2 and finally P1.
- 4. P3 chooses a piece among A1 and the two other pieces.
- 5. P2 chooses a piece with the limitation that if P3 didn't choose A1, P2 must choose it.
- 6. P1 chooses the last piece leaving just the trimmings A2 to be divided.

It remains to divide the trimmings A2. The trimmed piece A1 has been chosen by either P2 or P3; let's call the player who chose it PA and the other player PB.

- 1. PB cuts A2 into three equal pieces.
- 2. PA chooses a piece of A2 we name it A21.
- 3. P1 chooses a piece of A2 we name it A22.
- 4. PB chooses the last remaining piece of A2 we name it A23.

## **ENVY-FREENESS : FEW AGENTS**

- [Brams and Taylor, 1995]
  - The first finite (but unbounded) protocol for any number of agents
- [Aziz and Mackenzie, 2016a]
  - The first bounded protocol for 4 agents (at most 203 queries)
- [Amanatidis et al., 2018]
  - A simplified version of the above protocol for 4 agents (at most 171 queries)

## **ENVY-FREENESS**

- Theorem [Aziz and Mackenzie, 2016b]
  - There exists a bounded protocol for computing an envy-free allocation with *n* agents, which requires  $O(n^{n^{n^n}})$  queries

• Theorem [Procaccia, 2009]

Any protocol for finding an envy-free allocation requires  $\Omega(n^2)$  queries.

## Open Problem Bridge the gap between $O(n^{n^{n^n}})$ upper bound and $\Omega(n^2)$ lower bound for envy-free cake-cutting

## Indivisible Goods



- Estate (inheritance) division
- Divorce settlement

• Friends splitting jointly purchased items



## **PROVABLY FAIR SOLUTIONS.**



Share Rent



Split Fare



Assign Credit





Distribute Tasks

Suggest an App

## Setting

67	150	256	12
150	27	39	53
25	121	352	5

## APPROXIMATE ENVY-FREENESS

- Envy-Freeness Up To One Good (EF1)
  - No agent envies another agent if we ignore at most one good allocated to the envied agent
  - $\circ \quad \forall i, j \in N \; \exists^* g \in A_j : v_i(A_i) \ge v_i(A_j \setminus \{g\})$
- Simple round robin achieves this:



## EFFICIENCY

- Pareto optimality (PO)
  - No other allocation should give more utility to every agent
  - $\circ \quad \nexists B\left(\forall i: v_i(B_i) > v_i(A_i)\right)$

• Round robin violates PO!

• Does there always exist an allocation that is both fair (EF1) and efficient (PO)?

### MAXIMUM NASH WELFARE

• Idea: Maximize the Nash welfare  $\prod_i v_i(A_i)$ 



## MAXIMUM NASH WELFARE

Theorem [Caragiannis, Kurokawa, Procaccia, Moulin, S, Wang, 2016]

Maximizing Nash welfare satisfies EF1 and PO.

## **OPEN QUESTIONS**

- Computation
  - **Open Question:** Can we compute an EF1+PO allocation in polynomial time?
    - Possible in pseudo-polynomial time [Barman et al., 2018]
- Envy-freeness up to any good (EFX)
  - No agent envies another agent if we ignore **any** good allocated to the envied agent
  - $\circ \quad \forall i, j \in N \ \forall g \in A_j : v_i(A_i) \ge v_i(A_j \setminus \{g\})$
  - **Open Question:** Does there always exist an EFX allocation?
    - It exists for three agents [Chaudhury et al., 2020]

