Neural Networks for Machine Learning

Lecture 15a

From Principal Components Analysis to Autoencoders

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Principal Components Analysis

• This takes N-dimensional data and finds the M orthogonal directions in which the data have the most variance.
  – These M principal directions form a lower-dimensional subspace.
  – We can represent an N-dimensional datapoint by its projections onto the M principal directions.
  – This loses all information about where the datapoint is located in the remaining orthogonal directions.
• We reconstruct by using the mean value (over all the data) on the N-M directions that are not represented.
  – The reconstruction error is the sum over all these unrepresented directions of the squared differences of the datapoint from the mean.
A picture of PCA with N=2 and M=1

The red point is represented by the green point. The “reconstruction” of the red point has an error equal to the squared distance between red and green points.

direction of first principal component
i.e. direction of greatest variance
Using backpropagation to implement PCA inefficiently

- Try to make the output be the same as the input in a network with a central bottleneck.
- The activities of the hidden units in the bottleneck form an efficient code.

- If the hidden and output layers are linear, it will learn hidden units that are a linear function of the data and minimize the squared reconstruction error.
  - This is exactly what PCA does.
- The M hidden units will span the same space as the first M components found by PCA
  - Their weight vectors may not be orthogonal.
  - They will tend to have equal variances.
Using backpropagation to generalize PCA

• With non-linear layers before and after the code, it should be possible to efficiently represent data that lies on or near a non-linear manifold.
  – The encoder converts coordinates in the input space to coordinates on the manifold.
  – The decoder does the inverse mapping.
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Lecture 15b
Deep Autoencoders

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Deep Autoencoders

• They always looked like a really nice way to do non-linear dimensionality reduction:
  – They provide flexible mappings both ways.
  – The learning time is linear (or better) in the number of training cases.
  – The final encoding model is fairly compact and fast.

• But it turned out to be very difficult to optimize deep autoencoders using backpropagation.
  – With small initial weights the backpropagated gradient dies.

• We now have a much better ways to optimize them.
  – Use unsupervised layer-by-layer pre-training.
  – Or just initialize the weights carefully as in Echo-State Nets.
The first really successful deep autoencoders (Hinton & Salakhutdinov, Science, 2006)

We train a stack of 4 RBM’s and then “unroll” them. Then we fine-tune with gentle backprop.
A comparison of methods for compressing digit images to 30 real numbers

real data
30-D deep auto
30-D PCA
Deep autoencoders for document retrieval and visualization
How to find documents that are similar to a query document

• Convert each document into a “bag of words”.
  – This is a vector of word counts ignoring order.
  – Ignore stop words (like “the” or “over”)
• We could compare the word counts of the query document and millions of other documents but this is too slow.
  – So we reduce each query vector to a much smaller vector that still contains most of the information about the content of the document.
How to compress the count vector

- We train the neural network to reproduce its input vector as its output.
- This forces it to compress as much information as possible into the 10 numbers in the central bottleneck.
- These 10 numbers are then a good way to compare documents.
The non-linearity used for reconstructing bags of words

- Divide the counts in a bag of words vector by $N$, where $N$ is the total number of non-stop words in the document.
  - The resulting probability vector gives the probability of getting a particular word if we pick a non-stop word at random from the document.

- At the output of the autoencoder, we use a softmax.
  - The probability vector defines the desired outputs of the softmax.

- When we train the first RBM in the stack we use the same trick.
  - We treat the word counts as probabilities, but we make the visible to hidden weights $N$ times bigger than the hidden to visible because we have $N$ observations from the probability distribution.
Performance of the autoencoder at document retrieval

• Train on bags of 2000 words for 400,000 training cases of business documents.
  – First train a stack of RBM’s. Then fine-tune with backprop.
• Test on a separate 400,000 documents.
  – Pick one test document as a query. Rank order all the other test documents by using the cosine of the angle between codes.
  – Repeat this using each of the 400,000 test documents as the query (requires 0.16 trillion comparisons).
• Plot the number of retrieved documents against the proportion that are in the same hand-labeled class as the query document. Compare with LSA (a version of PCA).
Retrieval performance on 400,000 Reuters business news stories

![graph showing accuracy vs number of retrieved documents for Autoencoder-10D, LSA-50D, and LSA-10D]
First compress all documents to 2 numbers using PCA on \( \log(1+\text{count}) \). Then use different colors for different categories.
First compress all documents to 2 numbers using deep auto. Then use different colors for different document categories.
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Lecture 15d
Semantic hashing

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Finding binary codes for documents

- Train an auto-encoder using 30 logistic units for the code layer.
- During the fine-tuning stage, add noise to the inputs to the code units.
  - The noise forces their activities to become bimodal in order to resist the effects of the noise.
  - Then we simply threshold the activities of the 30 code units to get a binary code.
- Krizhevsky discovered later that it's easier to just use binary stochastic units in the code layer during training.
Using a deep autoencoder as a hash-function for finding approximate matches.
Another view of semantic hashing

• Fast retrieval methods typically work by intersecting stored lists that are associated with cues extracted from the query.
• Computers have special hardware that can intersect 32 very long lists in one instruction.
  – Each bit in a 32-bit binary code specifies a list of half the addresses in the memory.
• Semantic hashing uses machine learning to map the retrieval problem onto the type of list intersection the computer is good at.
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Lecture 15e
Learning binary codes for image retrieval

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Binary codes for image retrieval

• Image retrieval is typically done by using the captions. Why not use the images too?
  – Pixels are not like words: individual pixels do not tell us much about the content.
  – Extracting object classes from images is hard (this is out of date!)
• Maybe we should extract a real-valued vector that has information about the content?
  – Matching real-valued vectors in a big database is slow and requires a lot of storage.
• Short binary codes are very easy to store and match.
A two-stage method

• First, use semantic hashing with 28-bit binary codes to get a long “shortlist” of promising images.
• Then use 256-bit binary codes to do a serial search for good matches.
  – This only requires a few words of storage per image and the serial search can be done using fast bit-operations.
• But how good are the 256-bit binary codes?
  – Do they find images that we think are similar?
Krizhevsky’s deep autoencoder

The encoder has about 67,000,000 parameters.

It takes a few days on a GTX 285 GPU to train on two million images.

There is no theory to justify this architecture.

256-bit binary code
Reconstructions of 32x32 color images from 256-bit codes
retrieved using 256 bit codes

retrieved using Euclidean distance in pixel intensity space
retrieved using 256 bit codes

retrieved using Euclidean distance in pixel intensity space
How to make image retrieval more sensitive to objects and less sensitive to pixels

• First train a big net to recognize lots of different types of object in real images.
  – We saw how to do that in lecture 5.
• Then use the activity vector in the last hidden layer as the representation of the image.
  – This should be a much better representation to match than the pixel intensities.
• To see if this approach is likely to work, we can use the net described in lecture 5 that won the ImageNet competition.
• So far we have only tried using the Euclidian distance between the activity vectors in the last hidden layer.
  – It works really well!
  – Will it work with binary codes?
Leftmost column is the search image.

Other columns are the images that have the most similar feature activities in the last hidden layer.
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Lecture 15f
Shallow autoencoders for pre-training

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RBMs as autoencoders

- When we train an RBM with one-step contrastive divergence, it tries to make the reconstructions look like data.
  - It’s like an autoencoder, but it’s strongly regularized by using binary activities in the hidden layer.
- When trained with maximum likelihood, RBMs are not like autoencoders.
- Maybe we can replace the stack of RBM’s used for pre-training by a stack of shallow autoencoders?
  - Pre-training is not as effective (for subsequent discrimination) if the shallow autoencoders are regularized by penalizing the squared weights.
Denoising autoencoders (Vincent et. al. 2008)

- Denoising autoencoders add noise to the input vector by setting many of its components to zero (like dropout, but for inputs).
  - They are still required to reconstruct these components so they must extract features that capture correlations between inputs.

- Pre-training is very effective if we use a stack of denoising autoencoders.
  - It’s as good as or better than pre-training with RBMs.
  - It’s also simpler to evaluate the pre-training because we can easily compute the value of the objective function.
  - It lacks the nice variational bound we get with RBMs, but this is only of theoretical interest.
Contractive autoencoders (Rifai et. al. 2011)

- Another way to regularize an autoencoder is to try to make the activities of the hidden units as insensitive as possible to the inputs.
  - But they cannot just ignore the inputs because they must reconstruct them.
- We achieve this by penalizing the squared gradient of each hidden activity w.r.t. the inputs.
- Contractive autoencoders work very well for pre-training.
  - The codes tend to have the property that only a small subset of the hidden units are sensitive to changes in the input.
  - But for different parts of the input space, it's a different subset. The active set is sparse.
  - RBMs behave similarly.
Conclusions about pre-training

• There are now many different ways to do layer-by-layer pre-training of features.
  – For datasets that do not have huge numbers of labeled cases, pre-training helps subsequent discriminative learning.
    • Especially if there is extra data that is unlabeled but can be used for pretraining.

• For very large, labeled datasets, initializing the weights used in supervised learning by using unsupervised pre-training is not necessary, even for deep nets.
  – Pre-training was the first good way to initialize the weights for deep nets, but now there are other ways.

• But if we make the nets much larger we will need pre-training again!