

1. Consider the function  $T(n)$  defined by the following recurrence:

$$T(n) = \begin{cases} 2 & \text{if } n = 1 \\ 3 + T(\lceil \frac{n}{2} \rceil) & \text{if } n > 1 \end{cases}$$

Prove that  $T(n)$  is in  $\Theta(\log n)$ .

*Ans:* In order to prove  $\Theta(\log n)$ , we have to show  $T(n) \in \Omega(\log n)$  and  $T(n) \in O(\log n)$ . We prove both by induction.

$[T(n) \in \Omega(\log n)]$ : We need constants  $B, c > 0$  such that  $T(n) \geq c \log n$  for all  $n \geq B$ . But, how can we find these values? Imagine the final proof and see what we need for the inductive step and the base case. In the induction step, we will have

$$T(n) = 3 + T(\lceil \frac{n}{2} \rceil)$$

We should use the IH to say that  $T(n) \geq 3 + c \log(\lceil \frac{n}{2} \rceil)$ . Then, we have to work with this to simplify:

$$\begin{aligned} T(n) &\geq 3 + c \log(\frac{n}{2}) && \text{(because } \lceil \frac{n}{2} \rceil \geq \frac{n}{2} \text{ and } \log \text{ is an increasing function)} \\ &= 3 + c(\log n - \log 2) \\ &\geq 3 + c \log n - c \log 2 \end{aligned}$$

But our goal is to show  $T(n) \geq c \log n$ . So we have to be able to set the following inequality:

$$c \log n + (3 - c \log 2) \geq c \log n$$

If we solve this inequality for  $c$ , we find that  $c \leq \frac{3}{\log 2}$ .

**Note:** We are not done yet. We should double-check the base case to be sure it works. In this example, we choose  $B = 1$  as our base value.

$$T(1) = 2 \geq 0 = \log(1) = \frac{3}{\log 2} \log(1)$$

so we're good. Now let's prove our statement assuming  $c = \frac{3}{\log 2}$ .

*Proof.* Define our predicate as  $P(n) : T(n) \geq c \log n$ ,  $c = \frac{3}{\log 2}$ . We use complete induction to prove that  $P(n), \forall n \geq 1$ .

*Base Case:*  $T(1) = 2 \geq 0 = c \log(1)$ .

*Induction step:* Let  $k > 1$  and suppose  $T(j) \geq c \log j$  for  $1 \leq j < k$  (IH). We know prove  $T(k) \geq c \log k$ .

$$\begin{aligned} T(k) &= 3 + T(\lceil \frac{k}{2} \rceil) && \text{(by recurrence for } T, \text{ since } k > 1) \\ &\geq 3 + c \log(\lceil \frac{k}{2} \rceil) && \text{(by IH, since } 1 \leq \lceil \frac{k}{2} \rceil < k \text{ for } k > 1) \\ &\geq 3 + c(\log k - \log 2) && (\lceil x \rceil \geq x \Rightarrow \log \lceil x \rceil \geq \log x \text{ for all } x) \\ &\geq c \log k && \text{(by choice of } c) \end{aligned}$$

Hence, by induction,  $T(n) \geq c \log n$  for all  $n \geq 1$ . □

$[T(n) \in O(\log n)]$ : As above, we start with induction step to find constraints on  $c$ .

$$\begin{aligned} T(n) &= 3 + T(\lceil \frac{n}{2} \rceil) \\ &\leq 3 + c \log \lceil \frac{n}{2} \rceil \end{aligned}$$

But wait, there is a problem here, we cannot go any further as  $\lceil \frac{n}{2} \rceil \geq \frac{n}{2}$  but it is ideal for us to have the reverse inequality. We can overcome this problem by trying  $T(n) \leq c \log(n-1)$ .

$$\begin{aligned} T(n) &= 3 + T(\lceil \frac{n}{2} \rceil) \\ &\leq 3 + c \log(\lceil \frac{n}{2} \rceil - 1) && \text{(by IH)} \\ &\leq 3 + c \log(\frac{n+1}{2} - 1) && (\lceil \frac{x}{2} \rceil \leq \frac{x+1}{2} \text{--needs proof as a lemma)} \\ &\leq 3 + c \log \frac{n-1}{2} \\ &\leq c \log(n-1) + (3 - c \log 2) \end{aligned}$$

As before,  $T(n) \leq c \log(n-1)$  iff  $\frac{3}{\log 2} \leq c$ . So we can pick  $c = \frac{3}{\log 2}$ . Similar to the previous part, we have to check the base case to make sure the choice of  $c$  works. We choose  $B = 2$  as our base case. Notice that if we instead choose  $B = 1$ , then the base case will be  $T(1) = 2 \leq c \log(1-1)$ . But  $\log 0$  is undefined!

$$T(2) = 3 + T(\lceil \frac{2}{2} \rceil) = 3 + T(1) = 5 \not\leq c \log(2-1) = c \log 1 = 0$$

It seems like, the base case doesn't want to yield! But don't panic, we can slightly change our bound to fix this. Let's see if we can prove  $T(n) \leq \log(n-1) + 5$  instead! For the Base case:

$$T(2) = 5 \leq c \log(2-1) + 5.$$

Yey! But wait, we should revisit the induction step.

$$\begin{aligned} T(n) &= 3 + T(\lceil \frac{n}{2} \rceil) \\ &\leq 3 + c \log(\lceil \frac{n}{2} \rceil - 1) + 5 \\ &\leq 3 + c \log(n-1) - c \log 2 + 5 \\ &= c \log(n-1) + 5 && \text{(by choice of } c) \end{aligned}$$

*Proof.* Define predicate as  $P(n) : T(n) \leq c \log(n-1) + 5, \forall n \geq 2$ . We proof  $\forall n \geq 2, P(n)$  by complete induction.  
*Base case:*

$$T(2) = 3 + T(\lceil \frac{2}{2} \rceil) = 3 + 2 = 5 \leq 0 + 5 = c \log(1) + 5$$

*Induction step:* Let  $i > 2$  and suppose  $T(j) \leq c \log(j-1) + 5$  for all  $2 \leq j < i$  (IH). We have

$$\begin{aligned} T(i) &= 3 + T(\lceil \frac{i}{2} \rceil) \\ &\leq 3 + c \log(\lceil \frac{i}{2} \rceil - 1) + 5 && \text{(by recurrence for } T, \text{ since } i > 2) \\ &\leq 3 + c \log(\frac{i-1}{2}) + 5 && \text{(by IH and because } 2 \leq \lceil \frac{x}{2} \rceil < x \text{ for } x > 2) \\ &\leq 3 + c(\log(i-1) - \log(2)) + 5 && (\lceil \frac{x}{2} \rceil - 1 \leq \frac{x+1}{2} - 1 = \frac{x-1}{2}) \\ &\leq c \log(i-1) + 5 && \text{(by choice of } c) \end{aligned}$$

Hence, by induction,  $T(n) \leq c \log(n - 1) + 5$  for all  $n \geq 2$ . Since  $c \log(n - 1) \leq c \log n$ , then  $T(n) \in O(\log n)$ .  $\square$

$\square$