

# Exactly $N$ With More Than 3 Players

University of Toronto Theory Student Seminar  
(October 2022)

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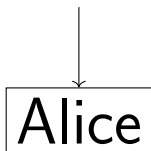
## Communication Complexity

Alice

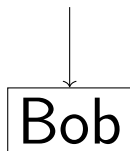
Bob

## Communication Complexity

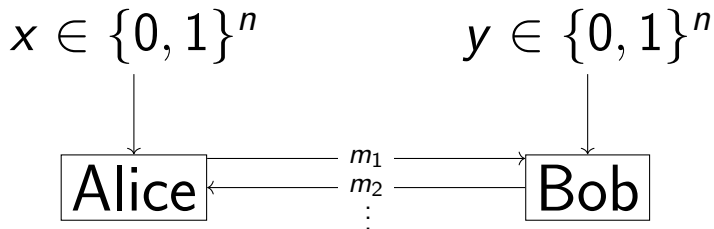
$$x \in \{0, 1\}^n$$



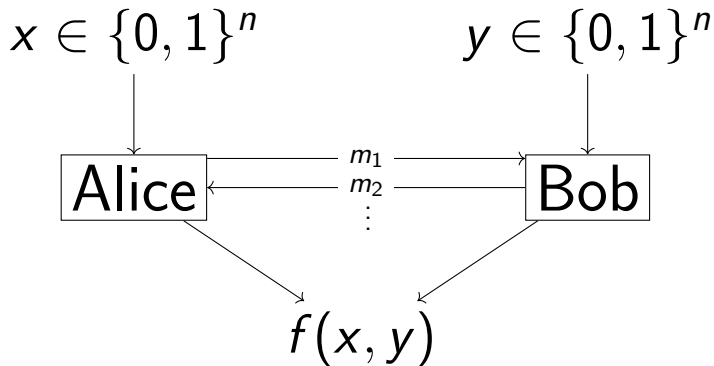
$$y \in \{0, 1\}^n$$



## Communication Complexity

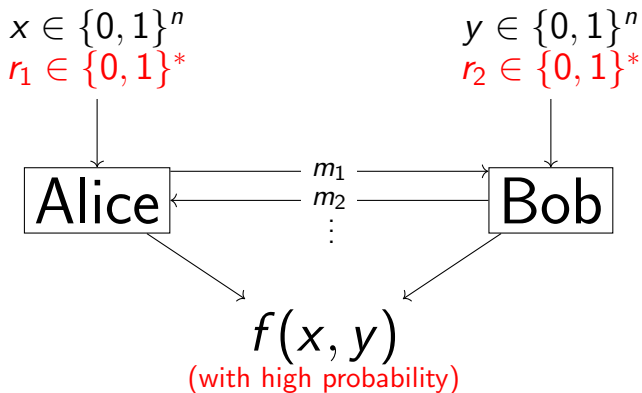


## Communication Complexity



The cost of the protocol is the *number of bits exchanged*.

# Randomized Communication Complexity



**Classical Complexity:**

P vs BPP still open

**Communication Complexity:**

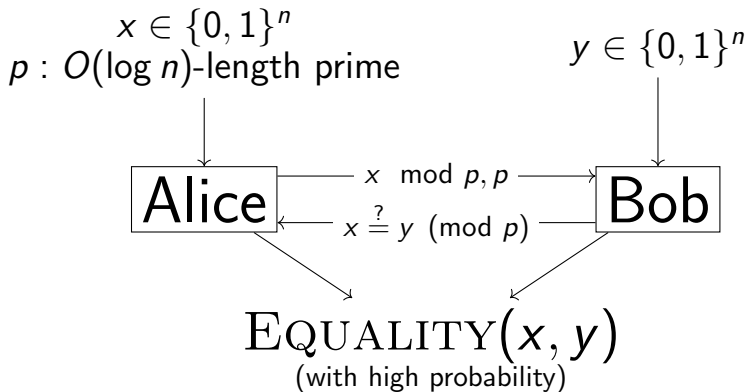
Randomness helps!

## Randomized Communication Complexity

$$\text{EQUALITY}(x, y) = 1 \Leftrightarrow x = y$$

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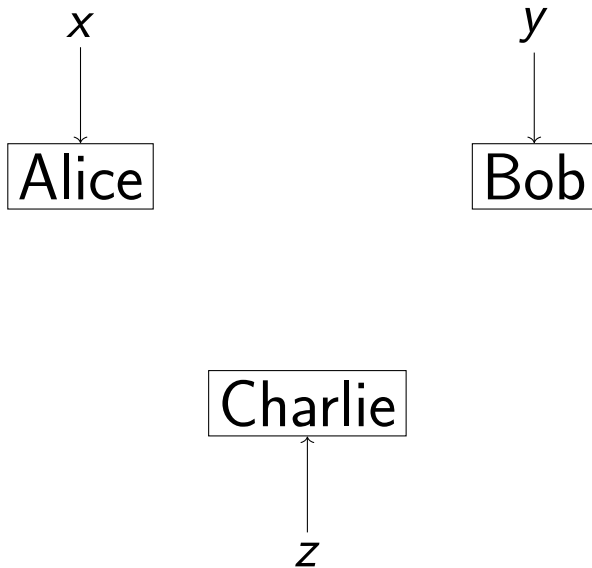
## 3-party communication complexity

Alice

Bob

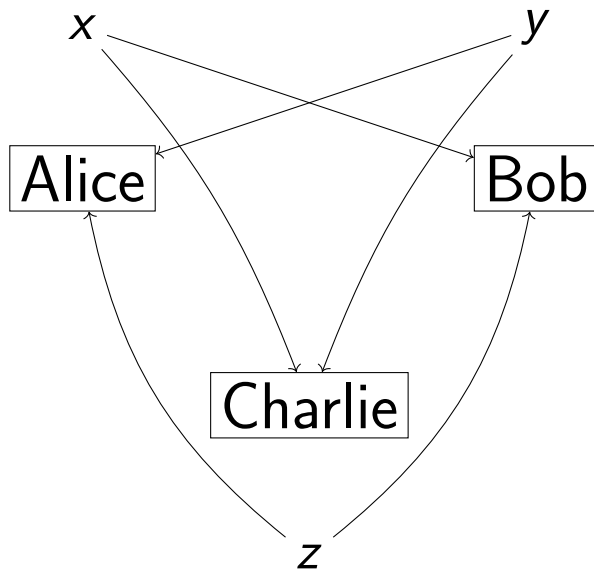
Charlie

## 3-party communication complexity



This is the *number-in-hand* model (NIH)

## 3-party communication complexity



This is the *number-on-forehead* model (NOF)

# Why we care about NOF complexity

Applications to other fields!

- ▶ Strong NOF lower bounds give  $ACC_0$  lower bounds [Y90,HG91]
- ▶ Lower bounds for Lovász-Schrijver systems in proof complexity [BPS07]
- ▶ Explicit pseudorandom generator constructions [BNS92]
- ▶ Time-space trade-offs in Turing Machines [BNS92]
- ▶ This talk: applications to **additive combinatorics**

## NIH vs. NOF

**NOF lower bounds seem harder to prove than NIH lower bounds.**

Example: EQUALITY

Model	Det.	Rand.	Notes
2-party	Hard	Easy	Yao, folklore
NIH	Hard	Easy	by reduction to 2-party model
NOF	Easy	Easy	Charlie announces $x = y$ Bob announces $x = z$

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Can we separate randomized and deterministic communication in the NOF model?

## The EXACTLY $N$ function

Inputs  $x_1, \dots, x_k$  are in  $\{0, \dots, N\}$ .

EXACTLY $N(x_1, \dots, x_k) = 1$  if  $\sum_{i=1}^k x_i = N$

EXACTLY $N$  has an easy randomized protocol

EXACTLY $N$  is a candidate hard function for deterministic NOF communication...

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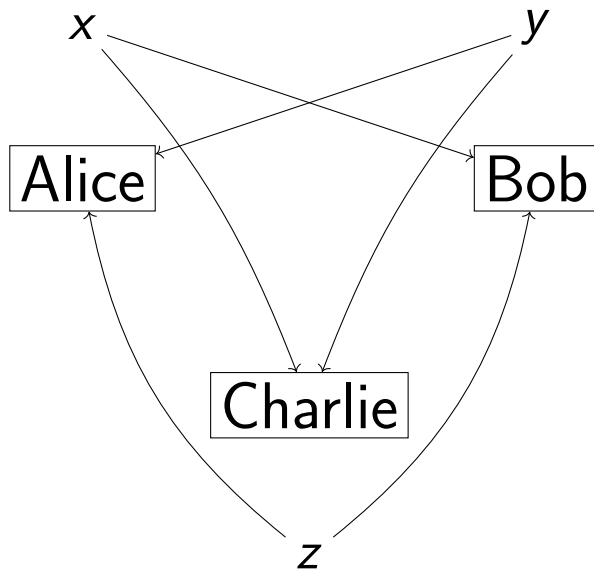
EXACTLY $N$  is a candidate hard function for deterministic NOF communication...but it isn't maximally hard!



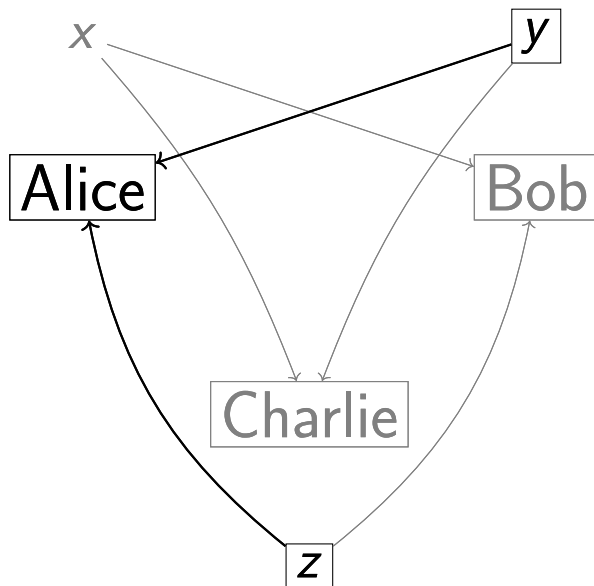
A maximally hard function would take  $O(\log N)$  bits of communication.

EXACTLY  $N$  can be done with less.

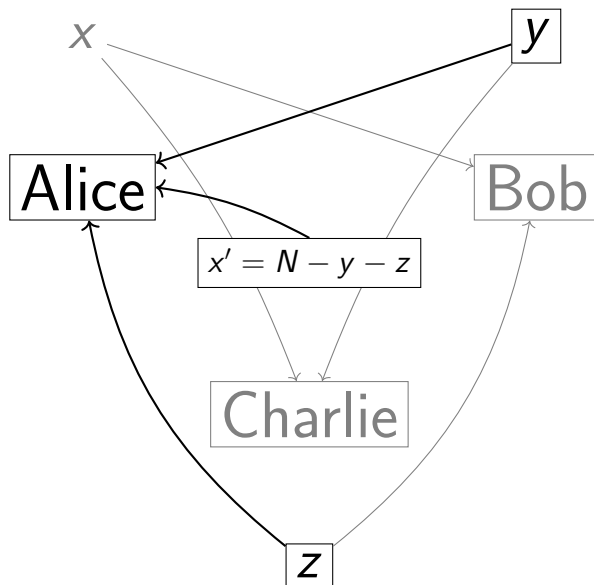
# Chandra/Furst/Lipton protocol for EXACTLY $N$



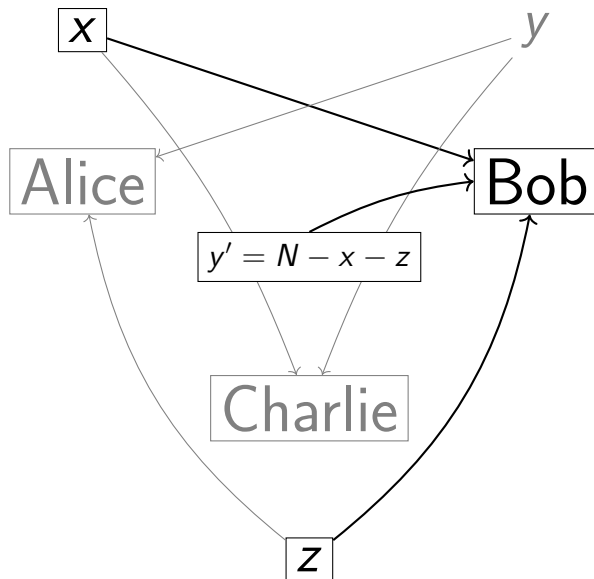
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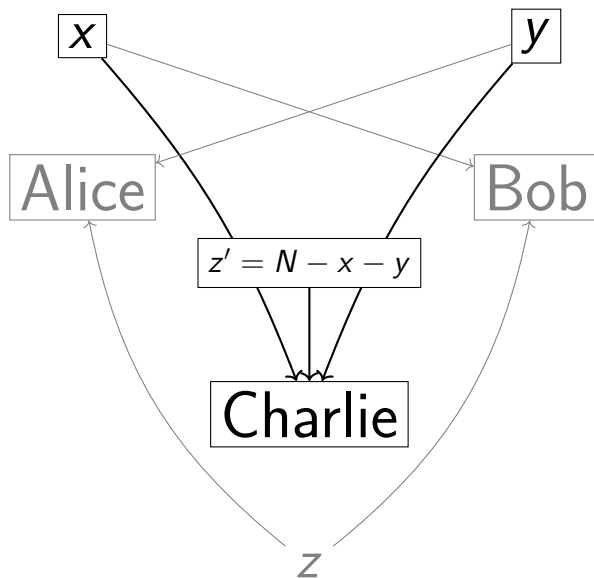
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## Chandra/Furst/Lipton protocol for EXACTLY $N$

$$x' = N - y - z$$

$$y' = N - x - z$$

$$z' = N - x - y$$

## Chandra/Furst/Lipton protocol for EXACTLY $N$

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Let  $\Delta = N - (x + y + z)$



## Chandra/Furst/Lipton protocol for EXACTLY $N$

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$$(x' - x) = (y' - y) = (z' - z) = \Delta$$

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Define  $T = x + 2y + 3z$

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$$T_x = x' + 2y + 3z$$

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Define  $T = x + 2y + 3z$

$$T_x = x' + 2y + 3z = T - \Delta$$

$$T_y = x + 2y' + 3z = T - 2\Delta$$

$$T_z = x + 2y + 3z' = T - 3\Delta$$

$T_x, T_y, T_z$  comprise a 3-term  
*arithmetic progression*

## Arithmetic progressions

A  $k$ -term arithmetic progression ( $k$ -AP) is a set of the form

$$\{a, a + b, \dots, a + (k - 1)b\}.$$

A  $k$ -AP is *trivial* if  $b = 0$  (i.e. if it is a singleton).

## Chandra/Furst/Lipton protocol for EXACTLY $N$

$$T_x = x' + 2y + 3z = T - \Delta$$

$$T_y = x + 2y' + 3z = T - 2\Delta$$

$$T_z = x + 2y + 3z' = T - 3\Delta$$

$T_x, T_y, T_z$  comprise a 3-AP that is trivial  $\Leftrightarrow \Delta = 0$ .



## Chandra/Furst/Lipton protocol for EXACTLY $N$

$$T_x = x' + 2y + 3z = T - \Delta$$

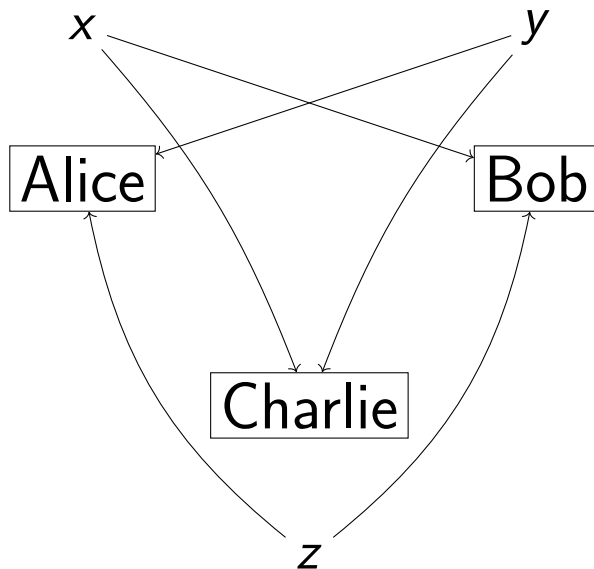
$$T_y = x + 2y' + 3z = T - 2\Delta$$

$$T_z = x + 2y + 3z' = T - 3\Delta$$

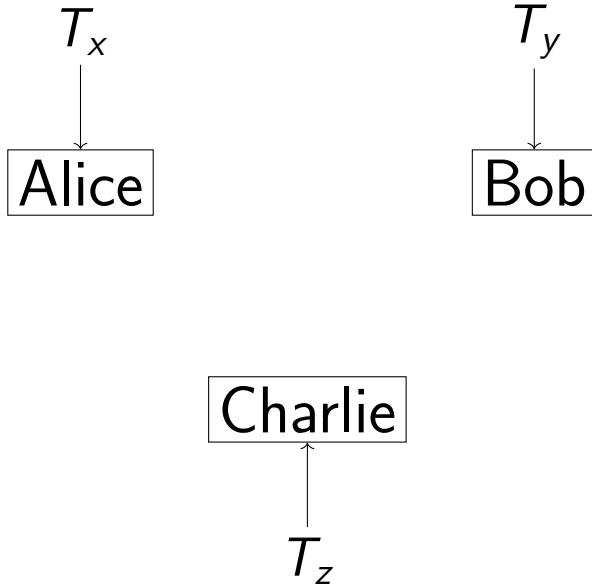
$T_x, T_y, T_z$  comprise a 3-AP that is trivial  $\Leftrightarrow \Delta = 0$ .

$\Delta = N - (x + y + z)$ , so  $\Delta = 0 \Leftrightarrow \text{EXACTLY}N(x, y, z) = 1$ .

# Chandra/Furst/Lipton protocol for EXACTLY $N$



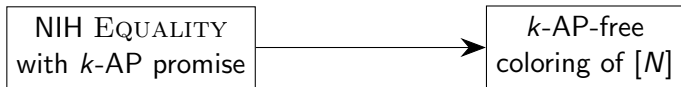
# Chandra/Furst/Lipton protocol for EXACTLY $N$



We have reduced NOF EXACTLY  $N$  to NIH EQUALITY where the inputs are promised to comprise a  $k$ -AP!

## $k$ -AP-free colorings

Color  $[N]$  such that no color has a nontrivial  $k$ -AP.



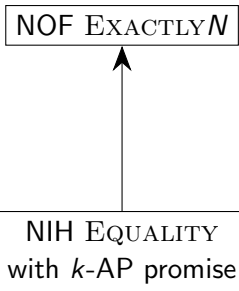
Color  $w \in [N]$  with transcript of EQUALITY protocol on  $(w, w, w)$ .

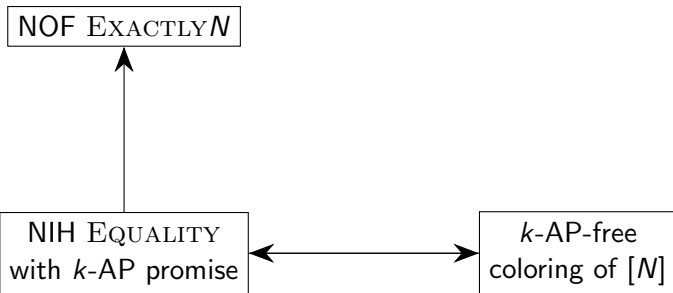
## $k$ -AP-free colorings

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Alice announces the color of her input.  
Bob and Charlie announce if they agree.



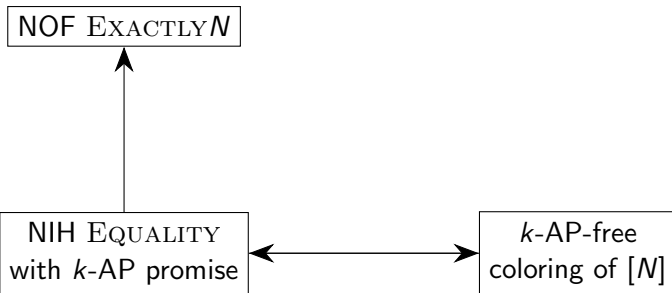




## $k$ -AP-free colorings

**Theorem (Behrend):**  $[N]$  has a 3-AP-free coloring with  $2^{O(\sqrt{\log N})}$  colors

So EXACTLY  $N$  for 3 players can be solved using  $O(\sqrt{\log N})$  bits of communication!



## Behrend's construction

Salem/Spencer: map  $[N]$  to vectors in  $[n]^d$  by base- $n$  representation

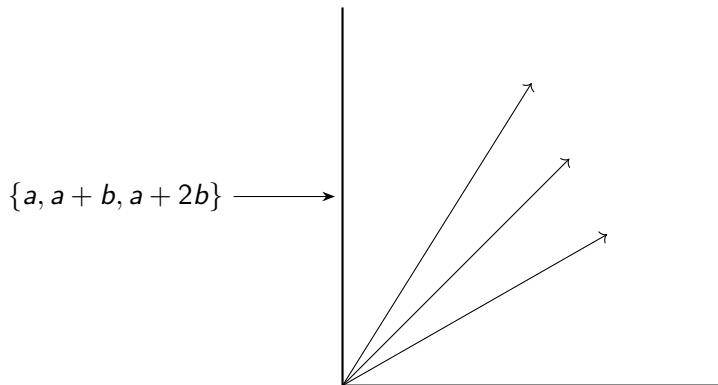
Example:  $x = 184$ ,  $N = 300$

$$n = 10 \quad \text{vec}(x) = (1, 8, 4)$$

$$n = 16 \quad \text{vec}(x) = (0, 11, 8)$$

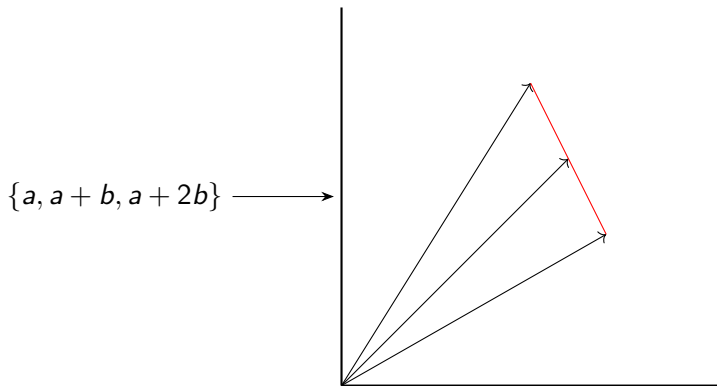
## Behrend's construction

Behrend's idea: look at the *lengths* of the Salem/Spencer vectors



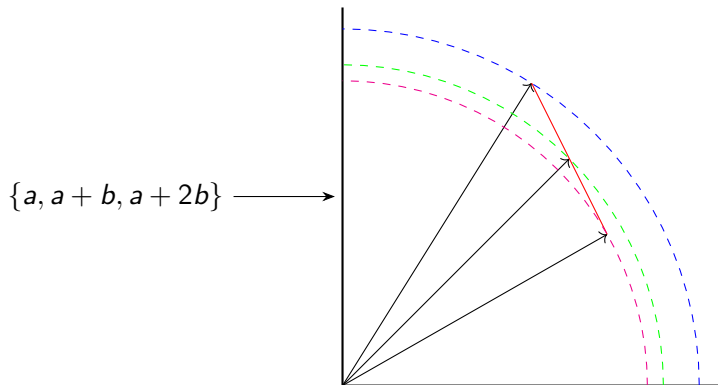
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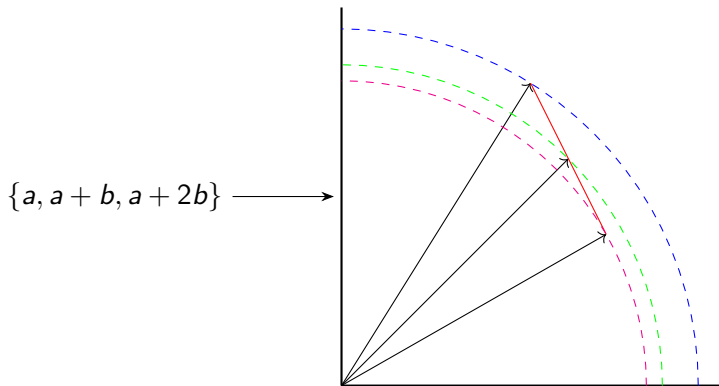
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If 3 vectors have the same length, they can't be a 3-AP!  
Color  $x \in [N]$  by the (squared) length of  $\text{vec}(x)$ .

## Behrend's construction

**Problem:**  $x, y, z$  are a 3-AP  $\not\Rightarrow$   $\text{vec}(x), \text{vec}(y), \text{vec}(z)$  are a 3-AP



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**Solution:** Restrict to vectors with  $\ell_\infty$ -norm  $\leq n/3$

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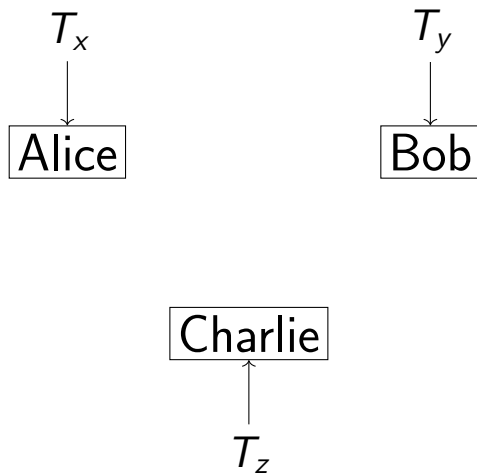
**Solution:** Restrict to vectors with  $\ell_\infty$ -norm  $\leq n/3$

Use a pigeonhole argument to find a large 3-AP-free set

From a large set, we can get a small coloring (by translation)

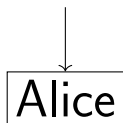
Behrend: set of size  $N/2^{O(\sqrt{\log N})} \Rightarrow$  coloring of size  $2^{O(\sqrt{\log N})}$

# Chandra/Furst/Lipton protocol for EXACTLY $N$



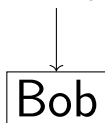
# Chandra/Furst/Lipton protocol for EXACTLY $N$

$\text{vec}(T_x)$



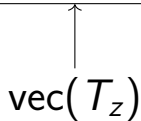
Alice

$\text{vec}(T_y)$



Bob

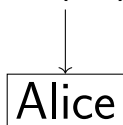
Charlie



$\text{vec}(T_z)$

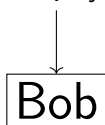
## Chandra/Furst/Lipton protocol for EXACTLY $N$

$\text{vec}(T_x)$



Alice

$\text{vec}(T_y)$



Bob

Charlie

$\text{vec}(T_z)$

A vertical arrow pointing upwards from the text  $\text{vec}(T_z)$  to a rectangular box containing the name "Charlie".

What if the vectors have large  $\ell_\infty$  norm?

## Linial/Pitassi/Shraibman protocol

Explicitly reason about the possibility of carries!

Alice announces her best guess for the **carry vector** of  $x + y + z$

If the parties agree on the carry vector, they can use this to ensure that the vectors for  $T_x, T_y, T_z$  are a 3-AP (details omitted).

# Linial/Pitassi/Shraibman protocol

## How much communication?

- ▶ Send carry vector:  $O(d)$  bits
- ▶ Send (squared) vector length:  $O(\log n)$  bits
- ▶ Bob and Charlie confirm:  $O(1)$  bits

Balanced at  $d = O(\sqrt{\log N})$ ,  $n = 2^{O(\sqrt{\log N})}$  (matches Behrend)

Q: Why do we care about explicit protocols?



Q: Why do we care about explicit protocols?

A: Another connection to combinatorics: corners!

# Corners

A corner in  $[M] \times [M]$  is a set of the form

$$\{(x, y), (x + \xi, y), (x, y + \xi)\}$$

for  $\xi \neq 0$ .

## Corner-free colorings from EXACTLY $N$ protocols

Color  $(y, z)$  by the message that Alice sends.

Let  $x^* = N - y - z - \xi$

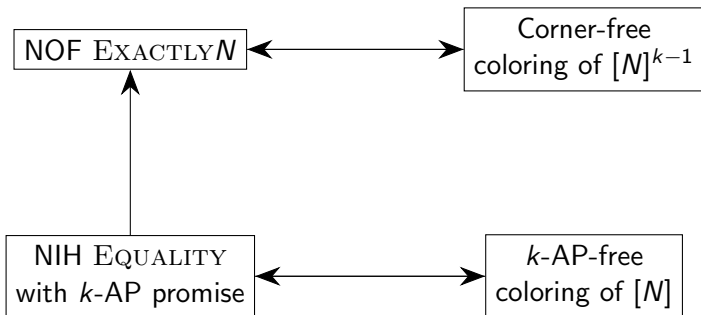
Bob can't distinguish between  $(x^*, y, z)$  and  $(x^*, y + \xi, z)$

Charlie can't distinguish between  $(x^*, y, z)$  and  $(x^*, y, z + \xi)$

So if  $\{(y, z), (y + \xi, z), (y, z + \xi)\}$  are colored the same, the protocol claims  $x^* + y + z = N$ , which is only true when  $\xi = 0$ .

## EXACTLY $N$ protocols from corner-free colorings

Compare the colors of  $(N - y - z, y)$ ,  $(x, N - x - z)$ , and  $(x, y)$ .  
This is  $\{(x + \xi, y), (x, y + \xi), (x, y)\}$  with  $\xi = \Delta$ .



## Better corner-free colorings

Linial/Shraibman show that we don't need to communicate the whole carry vector!

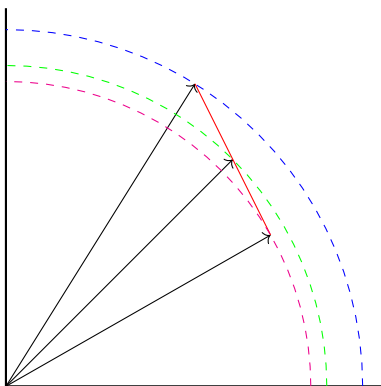
This gives the best improvement on corner-free colorings since Behrend.

Green gives a further improvement.

What about when  $k > 3$ ?

$$k > 3$$

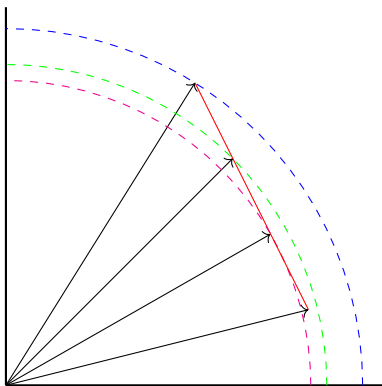
Behrend still works...





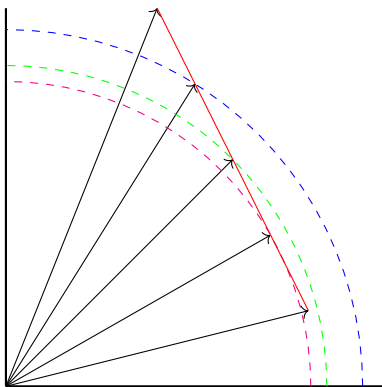
$$k > 3$$

Behrend still works...



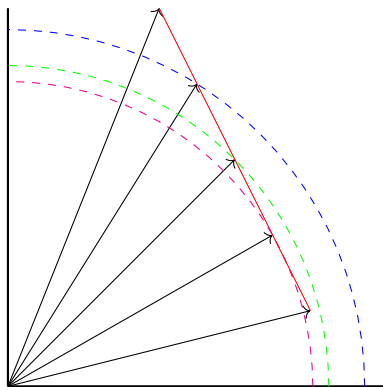
$$k > 3$$

Behrend still works...



$$k > 3$$

Behrend still works...



...but we can do better.

Rankin gives a better construction of  
 $k$ -AP-free colorings!

## Higher-degree progressions

A degree- $m$   $k$ -term polynomial progression ( $k$ - $P_m$ P) is a set of the form

$$\{p(0), p(1), \dots, p(k-1)\}$$

where  $p$  is a polynomial of degree at most  $m$ .

## Lifting to higher-degree progressions

**Theorem (Rankin, Łaba/Lacey):** If  $x_1, \dots, x_k$  are a  $k$ -P $_m$ P with:

- ▶  $k > 2m$
- ▶  $\text{vec}(x_1), \dots, \text{vec}(x_k)$  have low  $\ell_\infty$ -norm (less than  $n/c_m$ )
- ▶  $\{x_1, \dots, x_k\}$  is not a singleton

then  $\|\text{vec}(x_1)\|_2^2, \dots, \|\text{vec}(x_k)\|_2^2$  is a non-trivial  $k$ -P $_{2m}$ P

## Behrend's construction as lifting

$x_1, x_2, x_3$  are a 3-AP (3- $P_1P$ ) with:

▶  $k > 2m$

▶  $\text{vec}(x_1), \text{vec}(x_2), \text{vec}(x_3)$  have low  $\ell_\infty$ -norm

so if  $\|\text{vec}(x_1)\|_2^2 = \|\text{vec}(x_2)\|_2^2 = \|\text{vec}(x_3)\|_2^2$  it must be that  $\{x_1, x_2, x_3\}$  is a singleton.

## Behrend's construction as lifting

$x_1, x_2, x_3$  are a 3-AP (3- $P_1P$ ) with:

▶  $3 > 2$

▶  $\text{vec}(x_1), \text{vec}(x_2), \text{vec}(x_3)$  have low  $\ell_\infty$ -norm

so if  $\|\text{vec}(x_1)\|_2^2 = \|\text{vec}(x_2)\|_2^2 = \|\text{vec}(x_3)\|_2^2$  it must be that  $\{x_1, x_2, x_3\}$  is a singleton.



## Rankin's construction

Repeated apply lifting! Let  $k = 2^r + 1$

$$k\text{-}P_1P \rightarrow k\text{-}P_2P \rightarrow k\text{-}P_4P \rightarrow \dots \rightarrow k\text{-}P_{2^{r-1}}P \rightarrow k\text{-}P_{2^r}P$$

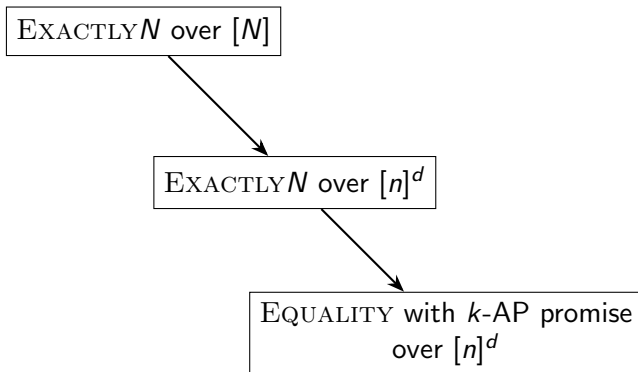
If the the  $k\text{-}P_{2^r}P$  is a singleton, the original  $k\text{-}P_1P$  was also!

Each time the range of values shrinks from  $n^d$  to  $n^2d$  for some  $n, d$

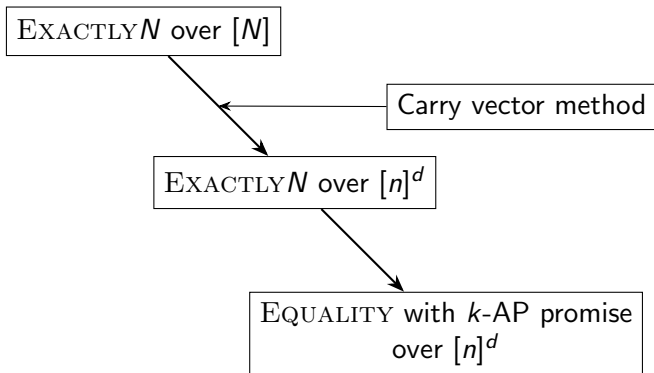
**Theorem (Rankin):**  $[N]$  has a  $k$ -AP-free coloring with  $2^{O(\log N^{1/\log(k-1)})}$  colors

Previous explicit protocols can't use Rankin's construction.

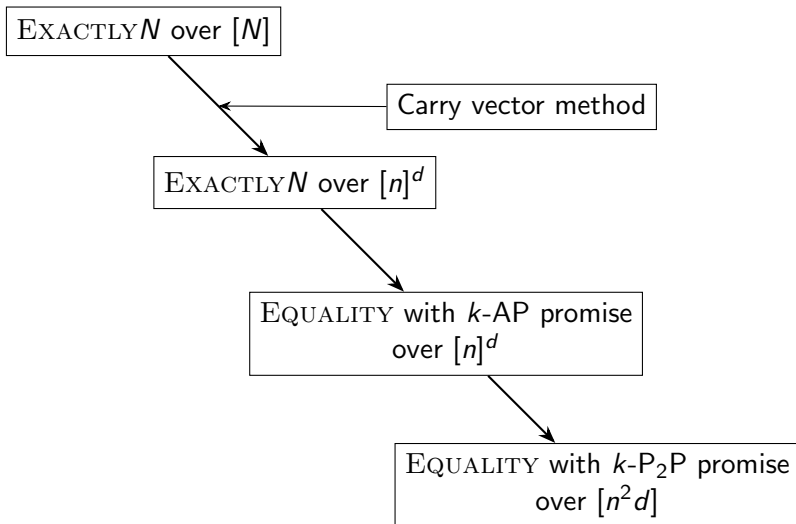
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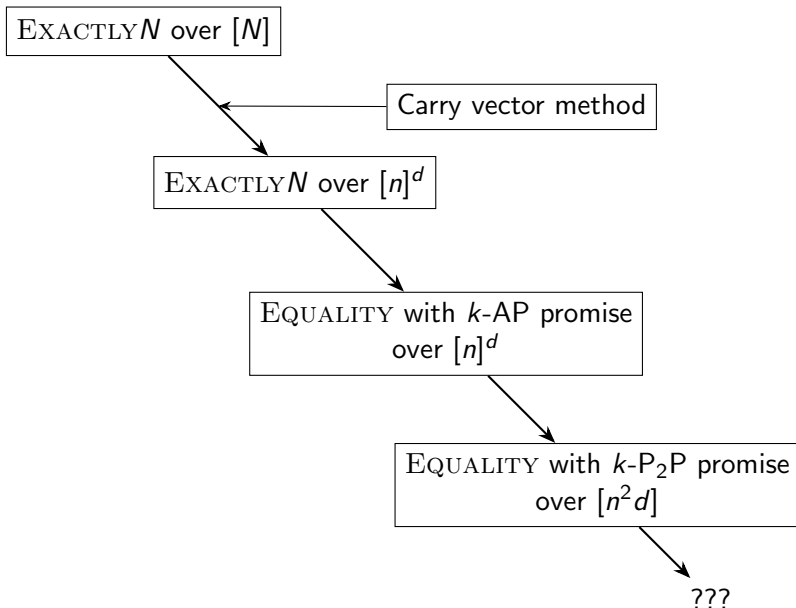
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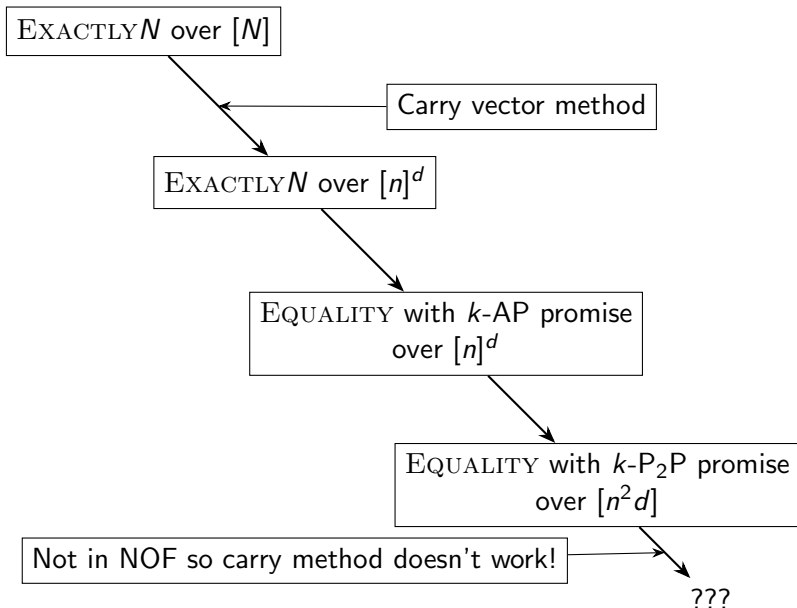
## Rankin's construction with carry vectors



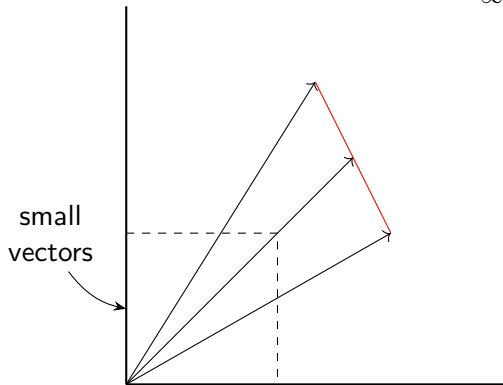
## Rankin's construction with carry vectors



# Rankin's construction with carry vectors

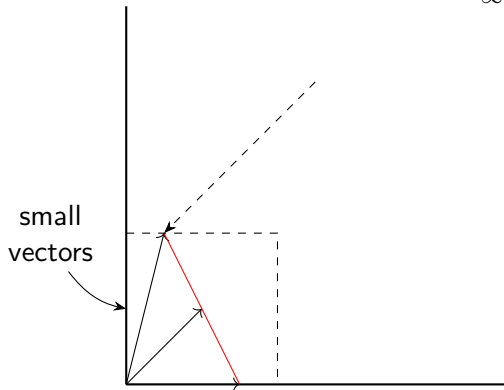


In order to ensure that the vectors have small  $\ell_\infty$  norm...





In order to ensure that the vectors have small  $\ell_\infty$  norm...



Alice announces how much she needs to *shift* her vector to make it small. We shift all of the vectors by this much!

## Our protocol

Rankin's construction with *shifts* between rounds.

- ▶ Other players need different shifts: the vectors are not equal, and so we're done!
- ▶ Otherwise, we can proceed: the vectors are now short!

Communication cost:

- ▶  $O(\log k)$  rounds of shifts:  $d \cdot c_k$  communication each
- ▶ Length at final step (complicated expression)

This ends up being balanced by choosing

$$d \approx O\left((\log N)^{1/(\log(k-1))}\right)$$

every round, which matches Rankin

## Ongoing work and future directions

- ▶ Can Linial/Shraibman corner result generalize with shifts?
- ▶ Can Green's improvement of Linial/Shraibman be generalized?
- ▶ Use these techniques with other NOF functions.

Thanks!

Extra slides

## Graph functions

Given  $x_1, \dots, x_{k-1}$  there is *at most one* value  $g(x_1, \dots, x_{k-1})$  for  $x_k$  such that  $F(x_1, \dots, x_k) = 1$ .

Easy with randomness:  $g(x_1, \dots, x_{k-1}) = x_k$ ?

**Theorem (Beame, David, Pitassi, and Woelfel):** There are graph functions that are hard to compute deterministically.

## Linial/Pitassi/Shraibman protocol

Alice announces her best guess for the **carry vector** of  $x + y + z$   
 $N_i + (C_i - 1)n < y_i + z_i + C_{i-1} \leq N_i + (C_i)n$

Example:  $N = 300$ ,  $n = 10$ ,  $\text{vec}(N) = (3, 0, 0)$

$\text{vec}(y) = (1, 8, 4)$        $\text{vec}(z) = (0, 0, 7)$

$$4 + 7 + 0 \leq 0 + 20$$

$$8 + 0 + 2 \leq 0 + 10$$

$$1 + 0 + 1 \leq 3 + 0$$

$$C(y, z) = (0, 1, 2)$$

## Linial/Pitassi/Shraibman protocol

Alice announces  $C(y, z)$

Bob and Charlie announce whether  $C(y, z) = C(x, z) = C(x, y)$

(As observed previously) if  $x + y + z = N$ , the guessed carry vectors are all the same.

Abort otherwise.

## Linial/Pitassi/Shraibman protocol

Alice announces  $C(y, z)$

Bob and Charlie announce whether  $C(y, z) = C(x, z) = C(x, y)$

(As observed previously) if  $x + y + z = N$ , the guessed carry vectors are all the same.

Abort otherwise.

$$\text{vec}(x) = (1, 0, 9) \quad \text{vec}(y) = (1, 8, 4) \quad \text{vec}(z) = (0, 0, 7)$$

$$4 + 7 + 0 \leq 0 + 20 \quad 9 + 7 + 0 \leq 0 + 20 \quad 9 + 4 + 0 \leq 0 + 20$$

$$8 + 0 + 2 \leq 0 + 10 \quad 0 + 0 + 2 \leq 0 + 10 \quad 8 + 0 + 2 \leq 0 + 10$$

$$1 + 0 + 1 \leq 3 + 0 \quad 1 + 0 + 1 \leq 3 + 0 \quad 1 + 1 + 1 \leq 3 + 0$$

$$C(y, z) = (0, 1, 2) \quad C(x, z) = (0, 1, 2) \quad C(x, y) = (0, 1, 2)$$



## Linial/Pitassi/Shraibman protocol

Alice announces  $C(y, z)$

Bob and Charlie announce whether  $C(y, z) = C(x, z) = C(x, y)$

(As observed previously) if  $x + y + z = N$ , the guessed carry vectors are all the same.

Abort otherwise.

$$\text{vec}(x) = (1, 0, 6) \quad \text{vec}(y) = (1, 8, 4) \quad \text{vec}(z) = (0, 0, 7)$$

$$4 + 7 + 0 \leq 0 + 20 \quad 6 + 7 + 0 \leq 0 + 20 \quad 6 + 4 + 0 \leq 0 + 10$$

$$8 + 0 + 2 \leq 0 + 10 \quad 0 + 0 + 2 \leq 0 + 10 \quad 8 + 0 + 1 \leq 0 + 10$$

$$1 + 0 + 1 \leq 3 + 0 \quad 1 + 0 + 1 \leq 3 + 0 \quad 1 + 1 + 1 \leq 3 + 0$$

$$C(y, z) = (0, 1, 2) \quad C(x, z) = (0, 1, 2) \quad C(x, y) = (0, 1, 1)$$

## Linial/Pitassi/Shraibman protocol

Alice announces  $C(y, z)$

Bob and Charlie announce whether  $C(y, z) = C(x, z) = C(x, y)$

(As observed previously) if  $x + y + z = N$ , the guessed carry vectors are all the same.

Abort otherwise.

$$\text{vec}(x) = (1, 0, 8) \quad \text{vec}(y) = (1, 8, 4) \quad \text{vec}(z) = (0, 0, 7)$$

$$4 + 7 + 0 \leq 0 + 20 \quad 8 + 7 + 0 \leq 0 + 20 \quad 8 + 4 + 0 \leq 0 + 20$$

$$8 + 0 + 2 \leq 0 + 10 \quad 0 + 0 + 2 \leq 0 + 10 \quad 8 + 0 + 2 \leq 0 + 10$$

$$1 + 0 + 1 \leq 3 + 0 \quad 1 + 0 + 1 \leq 3 + 0 \quad 1 + 1 + 1 \leq 3 + 0$$

$$C(y, z) = (0, 1, 2) \quad C(x, z) = (0, 1, 2) \quad C(x, y) = (0, 1, 2)$$