

### Information Channels

Suppose that data must be sent through a *channel* before it can be used. This channel may be unreliable, but we can use a *code* designed counteract this.

Some questions we aim to answer:

- Can we quantify how much information a channel can transmit?
- If we have low tolerance for errors, will we be able to make full use of a channel, or must some of the channel's capacity be lost to ensure a low error probability?
- How can we correct (or at least detect) errors in practice?
- Can we do as well in practice as the theory says is possible?

### Error Correction for Memory Blocks

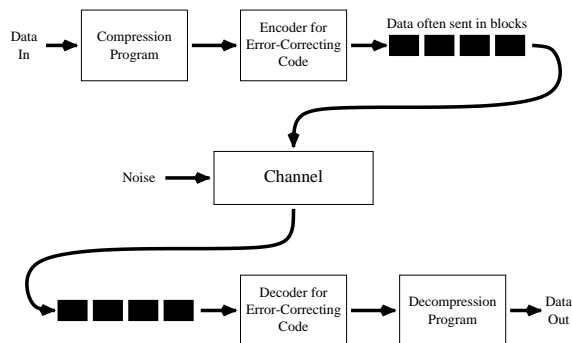
The "channel" may transmit information through time rather than space — ie, it is a memory device.

Many memory devices store data in blocks — eg, 64 bits for RAM, 512 bytes for disk.

Can we correct some errors by adding a few more bits? For instance, could we correct any single error if we use 71 bits to encode a 64 bit block of data stored in RAM?

### Error Correction in a Communications System

In other applications, data arrives in a continuous stream. An overall system might look like this:



### Error Detection

We might also be interested in detecting errors, even if we can't correct them:

- For RAM or disk memory, error detection tells us that we need to call the repair person.
- For some communication applications, we have the option of asking the sender to re-transmit.
- If we know that a bit is in error, we can try to minimize the damage — eg, if the bit is part of a pixel in an image, we can replace the pixel with the average of nearby pixels.

### Formal Definition of a Channel

A channel is defined by

- An input alphabet of  $r$  symbols, called  $a_1, \dots, a_r$ .
- An output alphabet of  $s$  symbols, called  $b_1, \dots, b_s$ .
- A description of how the output depends on the input.

We will deal only with *memoryless channels*, in which each output symbol is influenced only by one input symbol. The behaviour of such a channel is defined by the *forward probabilities*:

$$P_{ij} = \Pr(b = b_j | a = a_i) = \Pr(b_j | a_i)$$

These are fixed by the physical nature of the channel. They can be changed only by redesigning it.

### The Binary Symmetric Channel (BSC)

For the BSC, the input and output alphabets are both  $\{0, 1\}$ .

With probability  $P$ , the symbol received is the same as the symbol transmitted. With probability  $1 - P = \bar{P}$ , the wrong symbol is received.

We can view the input and output alphabets as  $\mathbb{Z}_2$ , the field of integers modulo 2. The channel can then be seen as adding “noise” to the input:

$$b = a + n$$

where  $n$  is 0 with probability  $P$  and 1 with probability  $1 - P$ . The addition is modulo 2 (the same as exclusive-or).

### The Binary Erasure Channel (BEC)

For the BEC, the input alphabet is  $\{0, 1\}$ , but the output alphabet is  $\{0, 1, ?\}$ . The “?” output represents an “erasure”, in which the transmitted symbol is lost.

An erasure happens with probability  $\bar{P}$ ; otherwise, the symbol is received correctly.

The forward probabilities for the BEC can be arranged in a *channel matrix*:

$$(P_{ij}) = \begin{pmatrix} P & 0 & \bar{P} \\ 0 & P & \bar{P} \end{pmatrix}$$

### Channel Input Distribution

We can choose what input symbols we feed into the channel. We might send symbols from some source, the output of a data compression program applied to that source, or an error-correcting code for either of these.

For the moment, we'll assume that the symbols we put in are independent of each other, with some specified distribution:

$$p_i = \Pr(a = a_i)$$

We may aim to choose these *input probabilities* so that we make efficient use of the channel.

### Deriving Some More Probabilities

The input and the forward probabilities together define the *joint probability* for any combination of channel input and output:

$$R_{ij} = \Pr(a = a_i \text{ and } b = b_j) = \Pr(a_i, b_j) = p_i P_{ij}$$

We can now find the *output probabilities*:

$$q_j = \Pr(b = b_j) = \Pr(b_j) = \sum_{i=1}^r R_{ij}$$

Finally, we get the *backward probabilities*:

$$Q_{ij} = \Pr(a = a_i | b = b_j) = \Pr(a_i | b_j) = R_{ij}/q_j$$

The backward probabilities give the situation from the receiver's point of view — given that I've received symbol  $b_j$ , how likely is it that the symbol sent was  $a_i$ ?

### Input, Output, and Joint Entropies

The amount of information being sent can be measured by the *input entropy*:

$$H(\mathcal{A}) = \sum_{i=1}^r p_i \log(1/p_i)$$

Similarly, the amount of “information” received (some of which may actually be noise) is measured by the *output entropy*:

$$H(\mathcal{B}) = \sum_{j=1}^s q_j \log(1/q_j)$$

We also have the *joint entropy*:

$$H(\mathcal{A}, \mathcal{B}) = \sum_{i=1}^r \sum_{j=1}^s R_{ij} \log(1/R_{ij})$$

This represents the amount of information available to an outside observer who knows what both the sender and the receiver know.

### Mutual Information

We can now define the *mutual information* between the input and the output:

$$I(\mathcal{A}, \mathcal{B}) = H(\mathcal{A}) + H(\mathcal{B}) - H(\mathcal{A}, \mathcal{B})$$

The mutual information is meant to represent the amount of information that is being communicated from the sender to the receiver.

This makes intuitive sense: The difference of  $H(\mathcal{A}) + H(\mathcal{B})$  and  $H(\mathcal{A}, \mathcal{B})$  is the “overlap” in the knowledge of the sender and receiver — due to information having been transmitted.

But the real test of this definition is whether it leads to useful theorems and insights.