# The Complexity of the Comparator Circuit Value Problem 

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## Outline of the talk

(1) Define comparator circuits
(2) Define CC as the class of problems reducible to CCV (the comparator circuit value problem)
(3) Give interesting complete problems for CC
(9) Introduce universal comparator circuits, with resulting robustness properties of CC.
(3) Support the conjecture that CC and NC are incomparable using oracle separations.

## Comparator Circuits

- Originally invented for sorting, e.g.,
- Batcher's $\mathcal{O}\left(\log ^{2} n\right)$-depth sorting networks ('68)
- Ajtai-Komlós-Szemerédi (AKS) $\mathcal{O}(\log n)$-depth sorting networks ('83)


## Comparator gate



- Can also be considered as Boolean circuits.


## Example



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(3) We introduce universal comparator circuits and use them to show that the two definitions coincide.
(9) Subramanian showed

$$
\mathrm{NL} \subseteq \mathrm{CC} \subseteq \mathrm{P}
$$

NL is nondeterministic log space

- Recall $\mathrm{NL} \subseteq \mathrm{CC} \subseteq \mathrm{P}$
- But also NL $\subseteq \mathrm{NC} \subseteq P$ where NC (the parallel class) contains the problems solvable by uniform polysize polylog depth Boolean circuit families.
- NC contains all context-free languages, and matrix powering and determinants over $\mathbb{Z}, \mathbb{Q}$ etc.
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## Conjecture

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## Conjecture

NC and CC are incomparable. (So in particular CC $\subsetneq P$. .)

Intuitively, we think CC $\subsetneq \mathrm{P}$ because each of the two comparator gate outputs in a comparator circuit is limited to fan-out one. (More later...)

## Example Complete Problems for CC

- Ccv
- Stable Marriage Problem
- Lexicographical first maximal matching
- Telephone connection problem
- Others...


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If our conjecture (that NC and CC are incomparable) is correct then none of these complete problems has an efficient parallel algorithm.

## Stable Marriage Problem (search version) (Gale-Shapley '62)

- Given $n$ men and $n$ women together with their preference lists
- Find a stable marriage between men and women, i.e.,
(1) a perfect matching
(2) satisfies the stability condition: no two people of the opposite sex like each other more than their current partners
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The Stable Marriage problem has been used to pair medical interns with hospital residencies in the USA.

## Lex-first maximal matching problem (CC-Complete)

Lex-first maximal matching

- Let $G$ be a bipartite graph.
- Successively match the bottom nodes $x, y, z, \ldots$ to the least available top node



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Lex-first maximal matching decision problems

- Edge Is a given edge $\{u, v\}$ in the lex-first maximal matching of $G$ ?
- Vertex Is a given (top) vertex $v$ in the lex-first maximal matching of $G$ ?
- The problems are equivalent.

Reducing vertex lex-first maximal matching to CCV

$\left\{\begin{array}{l}\} \\ \{ \end{array}\right.$


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## Notation

- $x, y, z, \ldots$ denote elements of $\mathbb{N}$ (presented in unary)
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- $|X|$ denotes the length of $X$.
- A complexity class is a set of relations of the form $R(\vec{x}, \vec{X})$


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- $A C^{0}$ many-one reducibility
$R_{1}(X) \leq_{m}^{\mathrm{AC}^{0}} R_{2}(X)$ iff there exists an $\mathrm{AC}^{0}$ function $F(X)$ such that

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- Thus CC is the class of relations $R(\vec{x}, \vec{X})$ that are $\mathrm{AC}^{0}$ many-one reducible to Ccv.


## Function Classes

- Given a class C of relations, we associate a class FC of functions as follows.
- A function $F$ taking strings to strings is in FC iff
(1) $|F(X)|=|X|^{O(1)}$ (p-bounded)
(2) The bit graph $B_{F}(i, X)$ is in $C$
- Here $B_{F}(i, X)$ holds iff the $i$ th bit of $F(X)$ is 1 .


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- But $Y=H(X)$ is the output of another comparator circuit.

So we need a universal comparator circuit, taking $Y^{\prime}$ as input, to compute $G(Y)$.

## Universal comparator circuits [Filmus]

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Operation of the gadget:


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- Simulating $n$ comparators requires $m(m-1) n$ gadgets.
- Thus there is an $\mathrm{AC}^{0}$ function UNIV such that if $m, n$ are arbitrary parameters, then

$$
U=\operatorname{UNIV}(m, n)=\left\langle m^{\prime}, n^{\prime}, U^{\prime}\right\rangle
$$

is a universal circuit with $m^{\prime}$ wires and $n^{\prime}$ gates which simulates all comparator networks with at most $m$ wires and at most $n$ comparators.

$$
\begin{aligned}
m^{\prime} & =2 m(m-1) n+m \\
n^{\prime} & =4 m(m-1) n
\end{aligned}
$$

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- FCC is closed under composition.
- CC is closed under (many-one) log-space reducibility.
- This is becasue NL $\subseteq C C$, so FCC includes all log space functions. And FCC is closed under composition.
- If $R(X) \leftrightarrow \operatorname{Ccv}(F(X))$, where $F$ is log-space computable, then

$$
\chi_{R}(X)=\chi_{\mathrm{Ccv}}(F(X))
$$

where $\chi_{R}$ is the characteristic function of $R$.

## Applications of universal comparator circuits Cont'd

- $R(X)$ is in CC iff there is an $\mathrm{AC}^{0}$-uniform family $\left\{C_{k}^{R}\right\}_{k \in \mathbb{N}}$ of comparator circuits, where $C_{k}$ computes $R(X)$ for $|X|=k$.


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- Proof of direction $\Rightarrow$ : This is clear if $R(X)$ is in $\mathrm{AC}^{0}$. (An $\mathrm{AC}^{0}$ circuit converts into a polysize tree circuit, which converts to a comparator circuit.)
- If $R(X) \in C C$, then

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R(X) \leftrightarrow \operatorname{CCv}(F(X))
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for some $\mathrm{AC}^{0}$ function $F(X)$. Apply a universal circuit to the output of $F(X)$.

## The circuit $C_{k}$ computing $R(X)$ for $|X|=k$



## Conjecture: NC and CC are incomparable

- Lex-First Max Matching (Lfmm) is in CC.


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- The function $A \rightsquigarrow A^{n}$ (where $A$ is an $n \times n$ integer matrix) is in $\mathrm{NC}^{2}$, but we do not know how to put it in CC.


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- Flipping an input to a gate generates a unique flip-path in the circuit from that gate to some output of the circuit.
- But flipping an input to an $\mathrm{NC}^{2}$-gate can generate many parallel flip-paths.


## Relativized CC and NC are incomparable

## Oracle gates for comparator circuits

- The oracle $\alpha:\{0,1\}^{*} \rightarrow\{0,1\}^{*}$ is length preserving.
- $\alpha_{n}:\{0,1\}^{n} \rightarrow\{0,1\}^{n}$ is the restriction of $\alpha$ to $n$.
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- Changing one input to one $\alpha_{n}$ gate produces a unique flip path in the circuit from that gate to the outputs of the circiut.


## Theorem

There is a relation $R_{1}(\alpha)$ computable by a polysize family of comparator oracle circuits by which cannot be computed by any $\mathrm{NC}(\alpha)$ circuit family (even when $\alpha$ is restricted to be 1-Lipschitz).

## Proof Idea.

- $\alpha_{n}^{k}(\overrightarrow{0})$ is easily computed by relativized comparator circuits, but requires depth $k$ circuits [ACN 07].


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- $\alpha_{n}^{k}(\overrightarrow{0})$ is easily computed by relativized comparator circuits, but requires depth $k$ circuits [ACN 07].
- The hard part is proving the depth lower bound when $\alpha$ is 1-Lipschitz.


## Theorem

There is a relation $R_{2}(\alpha)$ computable by an $\mathrm{NC}^{3}(\alpha)$ circuit family but not computable by any polysize family of comparator oracle circuits (even when $\alpha$ is restricted to be 1-Lipschitz).

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## Proof Idea where $\alpha$ is weakly 1-Lipschitz

(At most one output bit flips when one input bit flips.)

- Let $a_{i}^{k}:\{0,1\}^{d n} \rightarrow\{0,1\}$ be a Boolean oracle.
- Let $A^{k}=\left(a_{1}^{k}, \ldots, a_{n}^{k}\right)$
- Define a function $y=f\left[A^{1}, \ldots, A^{m}\right]$ as follows:

$$
\begin{aligned}
x_{i}^{k} & =a_{i}^{k}(\overbrace{x_{1}^{k+1}, \ldots, x_{1}^{k+1}}^{d \text { times }}, \ldots, \overbrace{x_{n}^{k+1}, \ldots, x_{n}^{k+1}}^{d \text { times }}), & & k \in[m], i \in[n], \\
x_{i}^{m+1} & =0, & & i \in[n], \\
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- $a_{i}^{k}$ has dn inputs and one output.
- Add $d n-1$ zeros as extra outputs for each $a_{i}^{k}$.
- Each $a_{i}^{k}$ computes a weakly 1-Lipschitz function.
- Let $X^{k}=\left(x_{1}^{k}, \ldots, x_{n}^{k}\right) \quad A^{k}=\left(a_{1}^{k}, \ldots, a_{n}^{k}\right)$
- $y=f\left[A^{1}, \ldots, A^{m}\right]$

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- $y=f\left[A^{1}, \ldots, A^{m}\right]$
- Set $m=\log ^{2} n$ and $d=4$
- Then a depth $\log ^{2} n N C^{3}$ oracle circuit computes $f$

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- Set $m=\log ^{2} n$ and $d=4$
- Then a depth $\log ^{2} n \mathrm{NC}^{3}$ oracle circuit computes $f$
- Claim: Every oracle comparator circuit computing $f\left[A^{1}, \ldots, A^{m}\right]$ has at least $\min \left(2^{n},(d-2)^{m-1}\right)$ gates. (Superpolynomial size)


## Proof outline of Claim:

Every oracle comparator circuit computing $f\left[A^{1}, \ldots, A^{m}\right]$ has at least $\min \left(2^{n},(d-2)^{m-1}\right)$ gates.
Fix an oracle comparator circuit $C$ computing $y=f\left[A^{1}, \ldots, A^{m}\right]$

- Def'n: An input to an oracle $a_{k}^{i}$ is regular if it has the form $\left(b_{1}\right)^{d} \cdots\left(b_{n}\right)^{d}$.
We say oracle $a_{i}^{k}$ is regular if $a_{i}^{k}(Z)=0$ for all irregular inputs $Z$.


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- Let $g$ be the total number of any of the gates $a_{i}^{k}$ in $C$. Given an assignment to the oracles, we say a particular gate $a_{i}^{k}$ is active if its input is correct.
- Let $g_{k}$ be the expected total number of active gates $a_{1}^{k}, \ldots, a_{n}^{k}$ in $C$ under a uniformly random regular setting of all oracles.
- $g_{1} \geq n$ (because $y=x_{1}^{1} \oplus \cdots \oplus x_{n}^{1}$ )


## Proof outline of Claim:

Every oracle comparator circuit computing $f\left[A^{1}, \ldots, A^{m}\right]$ has at least $\min \left(2^{n},(d-2)^{m-1}\right)$ gates.
Fix an oracle comparator circuit $C$ computing $y=f\left[A^{1}, \ldots, A^{m}\right]$

- Def'n: An input to an oracle $a_{k}^{i}$ is regular if it has the form $\left(b_{1}\right)^{d} \cdots\left(b_{n}\right)^{d}$. We say oracle $a_{i}^{k}$ is regular if $a_{i}^{k}(Z)=0$ for all irregular inputs $Z$.
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- $g_{1} \geq n$ (because $y=x_{1}^{1} \oplus \cdots \oplus x_{n}^{1}$ )
- It suffices to show $g_{k+1} \geq(d-2)\left(g_{k}-g / 2^{n}\right)$


## Proof idea of final Claim:

$g_{k+1} \geq(d-2)\left(g_{k}-g / 2^{n}\right)$

- Consequence of weakly 1-Lipschitz: If we change the definition of some gate $a_{i}^{k}$ at its current input in $C$, this generates a unique flip-path which may end at some copy of some other gate, in which case we say that the latter gate consumes the flip-path.


## Proof idea of final Claim:

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- Let $G_{1}, \ldots, G_{2^{n}}$ be a Gray code listing all strings in $\{0,1\}^{n}$, starting at $G_{1}=X_{k+1}$. We change the definition of the output of $A^{k+1}$ (at its active input) successively from $G_{1}$ to $G_{2^{n}}$ and count the number of flip paths generated.
- The Claim follows because every time a particular $a_{i}^{k}$ gate is updated from one active input to the next, it will absorb as least $d-2$ flip paths.


## Conclusion

The complexity class CC is interesting because

- It is robust: It has several alternative characterizations.
- It has interesting complete problems.
- It appears to be a proper subset of $P$ and incomparable with NC (and SC).


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## Open Problems:

Are any of the following problems in in CC?

Integer matrix powering?
All context free languages?
maximum matching in graphs?

