an order, proving bounds for a language with aggregates hinges on separation of TC^0 from other classes. Interestingly, there are other cases where expressiveness problems for database query languages cannot be resolved without separating complexity classes [2].

The idea of putting the unrestricted numerical domain on the side was influenced by the development of metafinite model theory [12]. We hope that this work may help formulate analogs of locality theorems in the metafinite context.

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all degrees from 0 to n. Thus, if $\varphi(x,y)$ is a $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ formula in the language of graphs, and m is such that $\varphi[G]$ is the transitive closure of G whenever G has at most m vertices, then m cannot exceed $g(\varphi,1)$.

7 Locality theorems for structures of small degree

The study of local queries was initiated by Gaifman's locality theorem for first-order logic [11], which says more than just Gaifman-locality of open formulae. Define a *r-local formula around* $\vec{x}$, $\varphi^{(r)}(\vec{x})$, to be a FO formula in which all quantification is of the form $Qy \in S_r(\vec{x})$. Thus, the validity of $\varphi(\vec{a})$ in $\mathcal{A}$ only depends on $N_r^{\mathcal{A}}(\vec{a})$. By *r-local sentences* we mean sentences of the form $\exists\vec{x}.\varphi^{(r)}(\vec{x})$. Gaifman's theorem says that every FO formula $\psi(\vec{x})$ is a Boolean combination of r-local formulae around $\vec{x}$ and r-local sentences, with $r \leq 7^{\mathsf{qr}(\psi)}$ ([25] shows that one can use $3 \cdot 4^{\mathsf{qr}(\varphi)}$). This leads to two questions. First, is it possible to prove an analog of this result for counting logics? Second, can we use the bounds on locality rank to improve the bound r for r-local formulae and sentences corresponding to a given FO formula?

We answer these questions here for structures of *small degree*, by proving an analog of Gaifman's theorem for FO($Q_{\mathbf{u}}$), and by showing that bounds 2^{qr} can be used for both FO and FO($Q_{\mathbf{u}}$). First, we prove two results that establish winning conditions for duplicator in (bijective) Ehrenfeucht-Fraïssé game. These apply to *arbitrary* structures.

We use the notation $\mathcal{A} \leftrightarrows^{w}_{(r,n)} \mathcal{B}$ for

$$\{ntp_r^{\mathcal{A}}(\vec{x}) \mid \vec{x} \in A^n\} = \{ntp_r^{\mathcal{B}}(\vec{y}) \mid \vec{y} \in B^n\}.$$

That is, $\mathcal{A} \leftrightarrows^{w}_{(r,n)} \mathcal{B}$ means that $\mathcal{A}$ and $\mathcal{B}$ realize all the same neighborhood types of r-neighborhoods of n-vectors, but unlike the $\leftrightarrows$ relation, it says nothing about the number of realizers.

Lemma 7.1 *a) Let m be a positive integer. Then there exists a number $n > 0$ such that $ntp^{\mathcal{A}}_{2^m}(\vec{a}) = ntp^{\mathcal{B}}_{2^m}(\vec{b})$ and $\mathcal{A} \leftrightarrows^{w}_{(2^m,n)} \mathcal{B}$ imply $(\mathcal{A},\vec{a}) \equiv_m (\mathcal{B},\vec{b})$.*

b) Assume that $\mathcal{A} \leftrightarrows_{2^m} \mathcal{B}$ and $\vec{a} \approx^{\mathcal{A},\mathcal{B}}_{2^m} \vec{b}$. Then $(\mathcal{A},\vec{a}) \equiv^{bij}_m (\mathcal{B},\vec{b})$. □

Using Lemma 7.1, we prove the following.

Theorem 7.2 *Let k be a positive integer. Let $\varphi(\vec{x})$ be a first-order formula. Then over* STRUCT$_k[\sigma]$, *$\varphi(\vec{x})$ is equivalent to a Boolean combination of r-local first-order formulae around $\vec{x}$ and r-local sentences, where $r \leq 2^{\mathsf{qr}(\varphi)}$.* □

Theorem 7.3 *Let k be a positive integer. Let $\psi(\vec{x})$ be a* FO($Q_{\mathbf{u}}$) *formula. Then over* STRUCT$_k[\sigma]$, *$\psi(\vec{x})$ is equivalent to a Boolean combination of r-local first-order formulae around $\vec{x}$ and sentences of the form*

$$Q_{\mathcal{K}} x_1, \ldots x_m(\varphi_1^{(r)}(x_1), \ldots, \varphi_m^{(r)}(x_m)),$$

where each $\varphi_i^{(r)}(x)$ is an r-local first-order formula around x, $Q_{\mathcal{K}}$ is a unary quantifier, and $r \leq 2^{\mathsf{qr}(\psi)}$. □

8 Conclusion

In this paper, we defined a logic $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ that subsumes a number of counting extensions of FO (such as FO($\mathbf{C}$) and FO($Q_{\mathbf{u}}$)) and has enormous counting power; at the same time, its formulae are local. This can be interpreted as showing independence of counting from recursive computation over unordered structures. Note that adding both fixpoints and counting to FO was studied rather extensively (see, e.g., [5, 13, 14, 27]) in connection with capturing PTIME over unordered structures. We proved tight bounds on locality rank for a variety of counting logics, described outputs of local queries, and proved an analog of Gaifman's theorem for FO($Q_{\mathbf{u}}$). Continuing the line that started in [10, 26, 28], we gave new winning strategies for the duplicator based on the ideas of locality.

We now briefly discuss applications and new directions. Gaifman-locality, as defined here, and the BDP, were introduced in connection with the study of expressive power of real-life database query languages that extend FO with grouping and aggregation constructs, see [7, 24]. A rather complex encoding of such languages in FO($\mathbf{C}$) is possible, but it can be significantly simplified by using $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ instead of FO($\mathbf{C}$).

Over *ordered* structures, FO($\mathbf{C}$) captures complexity class TC^0 (the class of problems accepted by constant-depth polynomial-size unbounded fan-in threshold circuits, under DLOGTIME-uniformity [3]). A conjecture in [22] that FO($\mathbf{C}$) order-independent properties, over ordered structures, are Gaifman-local, was disproved very recently by L. Hella. Nevertheless, we plan to work on defining the appropriate notions of locality in the ordered setting. This may shed light not only on complexity-theoretic problems, but also on expressiveness of database languages: in the presence of

with C and U interpreted as single element each, at the distance $2^{k+1}-2$. Then $G_1 \leftrightarrows_{2^k-2} G_2$ is verified easily, and further $G_1 \models \Phi$ while $G_2 \models \neg\Phi$. Thus, $\mathsf{hlr}(\Phi) > 2^k - 2$. Since $\mathsf{rk}(\Phi) = k+1$, we conclude $\mathrm{Hanf_rank}_{\mathcal{L}}(n) \geq 2^{n-1}-1$. For the proof of the upper bound, see [23]. □

6 Outputs of local queries

Recall that $\#\mathsf{ntp}_d(\mathcal{A})$ is the cardinality of $\{ ntp_d^{\mathcal{A}}(a) \mid a \in A\}$; that is, the number of different isomorphism types of d-neighborhoods of points realized in $\mathcal{A}$, and $deg(\mathcal{A})$ is the number of different degrees realized in $\mathcal{A}$. Fact 2.7 relates $deg(\varphi[\mathcal{A}])$ and $\#\mathsf{ntp}_d(\mathcal{A})$ when $\varphi(x,y)$ is a Gaifman-local graph query. Its proof in [7] relied on an analog of Lemma 3.8, which establishes a permutation of elements of the carrier. To extend the result to *arbitrary* local queries, one needs an analog of Lemma 3.8 that establishes a permutation of *vectors* over the carrier. It turns out that Lemma 4.3 provides such a tool, and allows us to solve an open problem from [7]. Below, formulae $\varphi(\vec{x})$ could be of any Gaifman-local logic (e.g., $\mathrm{FO}(\mathbf{C}), \mathcal{L}^*_{\infty\omega}(\mathbf{C})$), and all free variables are of the first sort.

Theorem 6.1 *Let $\varphi(x_1,\ldots,x_m) \in \mathsf{SP}(r)$, $m > 1$. Then, for any structure $\mathcal{A}$, $deg(\varphi[\mathcal{A}]) \leq m \cdot \#\mathsf{ntp}_{2^{m-1}r}(\mathcal{A})$.*

Proof sketch: First, we show that for any structure $\mathcal{A}$, whenever $\vec{a} \sim^{\mathcal{A}}_{2^k r} \vec{b}$, there exists a bijection $f : A^k \to A^k$ such that, for any $\vec{x} \in A^k$, $\vec{a}\vec{x} \sim_r \vec{b}f(\vec{x})$. The proof is by induction on k. For $k=1$, this follows from Lemma 4.3. Assume $\vec{a} \sim_{2^{k+1}r} \vec{b}$. Then, by the hypothesis, there exists a bijection $g : A^k \to A^k$ such that $\vec{a}\vec{x} \sim_{2r} \vec{b}g(\vec{x})$ for any $\vec{x} \in A^k$. By Lemma 4.3, there exists a bijection $\pi_{\vec{x}} : A \to A$ such that for every $x_0 \in A$, $\vec{a}\vec{x}x_0 \sim_r \vec{b}g(\vec{x})\pi_{\vec{x}}(x_0)$. We now define a new bijection $f : A^{k+1} \to A^{k+1}$ as follows: for $\vec{z} \in A^{k+1}$, let $\vec{x}$ be its first k components, and x_0 the last component. Then $f(\vec{z}) = g(\vec{x})\pi_{\vec{x}}(x_0)$. It follows that $\vec{a}\vec{z} \sim_r \vec{b}f(\vec{z})$, and it is routine to verify that f is a bijection.

Let $a \approx^{\mathcal{A}}_{2^{m-1}r} b$. Then $a \sim^{\mathcal{A}}_{2^{m-1}r} b$, and the claim above shows that there exists a bijection $f : A^{m-1} \to A^{m-1}$ such that $a\vec{x} \sim^{\mathcal{A}}_r bf(\vec{x})$ for each $\vec{x}$. Since $\varphi \in \mathsf{SP}(r)$, we obtain $\mathcal{A} \models \varphi(a\vec{x})$ iff $\mathcal{A} \models \varphi(bf(\vec{x}))$. Thus, $degree_1(a) = degree_1(b)$ in $\varphi[\mathcal{A}]$, and hence the number of different values of $degree_1(x), x \in A$, is at most $\#\mathsf{ntp}_{2^{m-1}r}(\mathcal{A})$. Thus, $deg(\varphi[\mathcal{A}]) \leq m \cdot \#\mathsf{ntp}_{2^{m-1}r}(\mathcal{A})$. □

Corollary 6.2 *Let $\varphi(x_1,\ldots,x_m)$, $m > 1$, be a $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ formula, with all free variables of the first sort. Then $deg(\varphi[\mathcal{A}]) \leq m \cdot \#\mathsf{ntp}_{2^{\mathsf{rk}(\varphi)+m-1}}(\mathcal{A})$ for any structure $\mathcal{A}$.* □

Combining Propositions 6.1 and 4.2, we answer the open question from [7].

Corollary 6.3 *If $\varphi(x_1,\ldots,x_m)$ is a local query, $m > 1$ and $\mathsf{lr}(\varphi) = r > 0$, then for any structure $\mathcal{A}$, $deg(\varphi[\mathcal{A}]) \leq m \cdot \#\mathsf{ntp}_{2^m r + 2^{m-1}}(\mathcal{A})$.*

Proof: In the proof of Proposition 6.1 we showed that $a \approx^{\mathcal{A}}_{2^k d} b$ implies that there exists a bijection $f : A^k \to A^k$ such that $a\vec{x} \sim^{\mathcal{A}}_d bf(\vec{x})$. Let $d = 2r+1$. Assume $a \approx^{\mathcal{A}}_{2^m r + 2^{m-1}} b$. Then we have a bijection $g : A^{m-1} \to A^{m-1}$ such that $a\vec{x} \sim^{\mathcal{A}}_{2r+1} bg(\vec{x})$, and by Proposition 4.2, $a\vec{x} \approx^{\mathcal{A}}_r bg(\vec{x})$ for any $\vec{x}$. By locality of φ, $\mathcal{A} \models \varphi(a\vec{x})$ iff $\mathcal{A} \models \varphi(bg(\vec{x}))$, and thus $degree_1(a) = degree_1(b)$ in $\varphi[\mathcal{A}]$; hence, as in the proof of Proposition 6.1, we conclude that $deg(\varphi[\mathcal{A}]) \leq m \cdot \#\mathsf{ntp}_{2^m r + 2^{m-1}}(\mathcal{A})$. □

If $\mathcal{A} \in \mathrm{STRUCT}_k[\sigma]$, there is a bound on $\#\mathsf{ntp}_r(\mathcal{A})$ that depends only on k, r and σ. Indeed, $|S^{\mathcal{A}}_r(a)|$ is at most of the order of k^r, and the number of different structures (of a fixed signature) of size n is at most exponential in $p(n)$, where p is a polynomial. Thus,

Corollary 6.4 *For every relational signature σ, every positive integer r, there exist integers c and d such that, if $\varphi(\vec{x})$ is a σ-formula and $\mathsf{lr}(\varphi) \leq r$, then for any structure $\mathcal{A} \in \mathrm{STRUCT}_k[\sigma]$, we have*

$$deg(\varphi[\mathcal{A}]) \leq c^{k^d}. \qquad \square$$

This proves that local formulae have the BDP. From counting neighborhoods, of a fixed radius r when degrees are bounded by k, one can obtain that for every relational signature σ, $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ σ-formula $\varphi(\vec{x})$ with all free variables of the first sort, and $k > 0$, there exist constants a, b, c such that for $\mathcal{A} \in \mathrm{STRUCT}_k[\sigma]$, $deg(\varphi[\mathcal{A}]) \leq a^{b^{c^{\mathsf{rk}(\varphi)}}} \stackrel{\mathrm{def}}{=} g(\varphi, k)$. This can be used to derive lower bounds on the rank of $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ (and thus FO, $\mathrm{FO}(\mathbf{C})$ and $\mathrm{FO}(Q_{\mathbf{u}})$) formulae that compute certain queries, as well as upper bounds on the size of structures on which a given formula has certain behaviour. For example, consider the transitive closure query. If its input is an n-element successor relation (whose degrees are either 0 or 1), the output realizes

5 How far do local queries see?

Here we use the techniques from the previous section to find precise bounds on locality rank, and on Hanf locality rank for various counting logics.

Assume that we deal with graphs, i.e, the signature has one binary relation symbol E. Consider the family of FO formulae: $d_0(x,y) = E(x,y) \vee E(y,x)$ and $d_{k+1}(x,y) = \exists z.d_k(x,z) \wedge d_k(z,y)$. Note that $\mathsf{rk}(d_k) = \mathsf{qr}(d_k) = k$. In a graph G, $G \models d_k(a,b)$ iff the distance from a to b in G is at most 2^k. This implies $\mathsf{lr}(d_k) \geq 2^{k-1}$.

Thus, the locality rank is necessarily exponential in the quantifier-rank of a first-order formula. For a logic $\mathcal{L}$, we define

$$\text{Loc_rank}_{\mathcal{L}}(n) = \max\{\mathsf{lr}(\varphi) \mid \varphi \in \mathcal{L}, \mathsf{rk}(\varphi) = n\}.$$

Then $2^{n-1} \leq \text{Loc_rank}_{\mathcal{L}}(n) \leq 2^n$, if $\mathcal{L}$ is FO, or FO(**C**), or FO($Q_{\mathbf{u}}$), or $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$. Can the *precise* value of the function Loc_rank be calculated? We do it below, by modifying slightly both the family $\{d_k(x,y)\}$ and the separation property.

Theorem 5.1 *Let $\mathcal{L}$ be* FO, *or* FO(**C**), *or* FO($Q_{\mathbf{u}}$), *or $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$. Then, for any $n > 0$,*

$$\text{Loc_rank}_{\mathcal{L}}(n) \;=\; 2^n - 1.$$

Proof sketch: We sketch the proof of the lower bound. We assume a signature that consists of one binary relation E and one unary relation U and define $\alpha_0(x) = U(x)$ and $\alpha_{k+1}(x) = \exists z.d_k(x,z) \wedge \alpha_k(z)$. This formula says that there exists an element of U at the distance at most $2^k - 1$ from x. It is easy to see that $\mathsf{lr}(\alpha_k) \geq 2^k - 1$ and $\mathsf{rk}(\alpha_k) = k$; hence $\text{Loc_rank}_{\mathcal{L}}(n) \geq 2^n - 1$. For the proof of the upper bound, see [23]. □

The reason why the separation property itself could not be used to prove this theorem, is the following. It is possible to find, for any n, a formula $\alpha_n(x)$ such that $\alpha_n \in \mathsf{SP}(2^n)$ but $\alpha_n \notin \mathsf{SP}(r)$ for any $r < 2^n$. In fact, the formulae we $\alpha_n(x)$ we introduced in the proof to show the lower bound, are such.

While $\mathsf{qr}(d_k) = k$, the number of quantifiers in d_k is $2^k - 1$, so one may conjecture that $\mathsf{lr}(\varphi)$ is polynomial (or even linear) in the total number of quantifiers. This conjecture is refuted by the result below, which shows that alternation gives us another way of getting exponential locality rank.

Theorem 5.2 *For each $n > 3$, there is a prenex first-order formula $\psi_n(x,y)$ whose prefix consists of n quantifiers, such that $\mathsf{lr}(\psi_n) \geq 2^{\lfloor \frac{n}{2} \rfloor - 1}$.*

Proof sketch: We fix the language to be $\langle E, U_1, U_2 \rangle$, where E is binary and U_1, U_2 are unary. Assume that n is even and $k = n/2$. Construct the structure $\mathcal{A}_n$ as follows: U_1 and U_2 are interpreted as two disjoint sets whose union is A, each of cardinality 2^k. The binary predicate E is interpreted as a successor relation on U_1 and U_2; that is, $\mathcal{A}$ can be viewed as a pair of successor relations of the same length. We use $\mathcal{A}^1_n$ for $\mathcal{A}_n$ restricted to U_1, and likewise for $\mathcal{A}^2_n$.

We now define $\psi_n(x,y)$ as a formula such that $\mathcal{A}_n \models \psi_n(a,b)$ iff $a \in U_1, b \in U_2$ and $(\mathcal{A}^1_n, a) \equiv_k (\mathcal{A}^2_n, b)$. This formula ψ_n can be chosen to be of the form

$$\forall x_1 \exists y_1 \forall x_2 \exists y_2 \ldots \forall x_k \exists y_k.\ \varphi'_n$$

where φ'_n is quantifier free. Intuitively, φ'_n states that if $x_1, \ldots, x_k$ are the moves by the spoiler, and $y_1, \ldots, y_k$ are the duplicator's responses, then $(\vec{x}, x)$ and $(\vec{y}, y)$ define a partial isomorphism.

Next, consider $a, a' \in U_1$ as the distance 2^{k-1} and $2^{k-1} + 1$ from the start node, respectively. Let $b \in U_2$ be at the distance $2^{k-1} + 1$ from the start node. Note that for $r = 2^{k-1} - 1$, $N_r^{\mathcal{A}_n}(a,b) \cong N_r^{\mathcal{A}_n}(a',b)$. Since $(\mathcal{A}^1_n, a') \cong (\mathcal{A}^2_n, b)$, they agree on all formulae; thus, $\mathcal{A}_n \models \psi_n(a,b)$. Define $\gamma(z) = \exists v(d_{k-1}(v,z) \wedge \forall u\ \neg E(u,v))$; $\mathsf{qr}(\gamma) = k$. Then $\mathcal{A}^1_n \models \gamma(a)$ and $\mathcal{A}^2_n \models \neg\gamma(b)$; hence $\mathcal{A}_n \models \neg\psi_n(a,b)$, which implies $\mathsf{lr}(\psi_n) > r$, that is, $\mathsf{lr}(\psi_n) \geq 2^{\frac{n}{2}-1}$. □

Similarly to Loc_rank(n), define $\text{Hanf_rank}_{\mathcal{L}}(n) = \max\{\mathsf{hlr}(\Phi) \mid \Psi \in \mathcal{L}, \mathsf{rk}(\Psi) = n\}$.

Theorem 5.3 *Let $\mathcal{L}$ be* FO, *or* FO(**C**), *or* FO($Q_{\mathbf{u}}$). *Then, for any $n > 1$,*

$$\text{Hanf_rank}_{\mathcal{L}}(n) = 2^{n-1} - 1.$$

Proof sketch: Consider structures of the signature $\langle E, U, C \rangle$, where E is binary and U, C are unary. Let $\Phi_k = \exists x.\alpha_k(x) \wedge C(x)$, where α_k is defined as in the proof of Theorem 5.1. That is, Φ_k says that there are two nodes in a graph that are at a distance at most $2^k - 1$ and they belong to C and U respectively. We now construct two graphs, G_1 being a union of two cycles of length $2^{k+1} - 2$, with C and U containing one element each, at the distance $2^k - 1$ in one of the cycles. Graph G_2 is one cycle of length $2^{k+2} - 4$,

$\mathcal{I} = \{\{1,\ldots,n\}\}$), the converse is not true, and the weaker notion $\sim_r$ allows the duplicator more freedom in the game, as will be shown later. We now use the definition of the separation property to prove the following key lemma.

Lemma 4.3 *Let $r > 0$, $\mathcal{A} \leftrightarrows_r \mathcal{B}$, and $\vec{a} \sim_{2r}^{\mathcal{A},\mathcal{B}} \vec{b}$. Then there exists a bijection $f : A \to B$ such that, for every $x \in A$, $\vec{a}x \sim_r^{\mathcal{A},\mathcal{B}} \vec{b}f(x)$.*

Proof sketch: Assume $\mathcal{I} = \{I_1,\ldots,I_m\}$ is a partition of $\{1,\ldots,n\}$ that witnesses $\vec{a} \sim_{2r}^{\mathcal{A},\mathcal{B}} \vec{b}$. That is, $\vec{a}_j^{\mathcal{I}} \approx_{2r}^{\mathcal{A},\mathcal{B}} \vec{b}_j^{\mathcal{I}}$ for each $j \leq m$, and $d(\vec{a}_j^{\mathcal{I}}, a_k^{\mathcal{I}}) > 2r$ and likewise for $\vec{b}$. Let $h_j : N_{2r}^{\mathcal{A}}(\vec{a}_j^{\mathcal{I}}) \to N_{2r}^{\mathcal{B}}(\vec{b}_j^{\mathcal{I}})$ be an isomorphism, and let h_j^0 be its restriction to $N_r^{\mathcal{A}}(\vec{a}_j^{\mathcal{I}})$.

Let τ be an isomorphism type of an r-neighborhood of a single point. If $x \in S_r^{\mathcal{A}}(\vec{a}_j^{\mathcal{I}})$ realizes τ, then so does $h_j^0(x) \in S_r^{\mathcal{B}}(\vec{b}_j^{\mathcal{I}})$. Thus, if $m_j^{\mathcal{A}}(\tau,\vec{a})$ is the number of elements of $S_r^{\mathcal{A}}(\vec{a}_j^{\mathcal{I}})$ that realize τ, and likewise for $m_j^{\mathcal{B}}(\tau,\vec{b})$, then $m_j^{\mathcal{A}}(\tau,\vec{a}) = m_j^{\mathcal{B}}(\tau,\vec{b})$. Next, define $m_0^{\mathcal{A}}(\tau,\vec{a})$ to be the number of elements in $A - S_r^{\mathcal{A}}(\vec{a})$ that realize τ, and likewise for $m_0^{\mathcal{B}}(\tau,\vec{a})$. Since $\mathcal{A} \leftrightarrows_r \mathcal{B}$, the number of elements realizing τ in $\mathcal{A}$ and $\mathcal{B}$ is the same, and hence $m_0^{\mathcal{A}}(\tau,\vec{a}) = m_0^{\mathcal{B}}(\tau,\vec{a})$. Thus, since $|A| = |B|$, there exists a bijection $g : A - S_r^{\mathcal{A}}(\vec{a}) \to B - S_r^{\mathcal{B}}(\vec{b})$ such that $x \approx_r^{\mathcal{A},\mathcal{B}} g(x)$ for every x.

We now define f to be h_j^0 on $S_r^{\mathcal{A}}$, $j = 1,\ldots,m$, and g on $A - S_r^{\mathcal{A}}(\vec{a}) = A - \bigcup_{j=1}^m S_r^{\mathcal{A}}(\vec{a}_j^{\mathcal{I}})$. It is routine to verify that f is the required bijection. □

Applications of the separation property Using Lemma 4.3, one can modify the inductive proof of Theorem 3.7, to show the following.

Theorem 4.4 *Let $\varphi(\vec{x};\vec{j})$ be a $\mathcal{L}_{\infty\omega}^{*}(\mathbf{C})$ formula. Then $\varphi \in \mathsf{SP}(2^{\mathsf{rk}(\varphi)})$.*

Proof sketch: Assume, in view of Theorem 3.5, that φ is a $\mathcal{L}_{\infty\omega}^{\circ}(\mathbf{C})$ formula. For the base case of atomic formulae of rank 0, observe that $\vec{a} \sim_1 \vec{b}$ implies $\vec{a} \approx_0 \vec{b}$, which means that $(\vec{a};\vec{j})$ and $(\vec{b};\vec{j})$ satisfy all the same atomic $\mathcal{L}_{\infty\omega}^{\circ}(\mathbf{C})$ formulae. The cases of the Boolean connectives and second-sort quantification are trivial. The remaining case is $\varphi(\vec{x};\vec{j}) \equiv \exists i z.\psi(\vec{x},z;\vec{j})$. Let $m = \mathsf{rk}(\psi)$ and $r = 2^m$; then $\psi \in \mathsf{SP}(r)$. We must show $\varphi \in \mathsf{SP}(2r)$. Assume that in a structure $\mathcal{A}$, $\vec{a} \sim_{2r}^{\mathcal{A}} \vec{b}$. Since $\mathcal{A} \leftrightarrows_r \mathcal{A}$, by Lemma 4.3, we find a permutation f on A such that $\vec{a}x \sim_r \vec{b}f(x)$. Now assume $\mathcal{A} \models \varphi(\vec{a};\vec{j})$. Then there exists i distinct elements $c_1,\ldots,c_i \in A$ such that $\mathcal{A} \models \psi(\vec{a},c_l,\vec{j})$ for each $c_l, l = 1,\ldots,i$. Let $d_l = f(c_l)$. Then all d_ls are distinct, we obtain $\vec{a}c_l \sim_r^{\mathcal{A}} \vec{b}d_l$, and then $\mathcal{A} \models \psi(\vec{b},d_l,\vec{j})$ for each d_l since $\psi \in \mathsf{SP}(r)$. Hence, $\mathcal{A} \models \varphi(\vec{b},\vec{j})$. □

Corollary 4.5 *Let φ be a* FO, *or* FO(**C**), *or* FO($Q_{\mathbf{u}}$), *or $\mathcal{L}_{\infty\omega}^{*}(\mathbf{C})$ formula. Then $\varphi \in \mathsf{SP}(2^{\mathsf{rk}(\varphi)})$ and $|\mathsf{r}(\varphi) \leq 2^{\mathsf{rk}(\varphi)}$.* □

The result below also follows from Lemma 4.3.

Theorem 4.6 *Assume that $\mathcal{A}$, $\mathcal{B}$ are two structures of the same relational signature and $\mathcal{A} \leftrightarrows_{2^n-1} \mathcal{B}$. Then the duplicator has a strategy in the n-move bijective game that guarantees that after $i \leq n$ moves, if $\vec{a} = (a_1,\ldots,a_i)$ and $\vec{b} = (b_1,\ldots,b_i)$ are points played on $\mathcal{A}$ and $\mathcal{B}$ respectively, then $\vec{a} \sim_{2^n-1}^{\mathcal{A},\mathcal{B}} \vec{b}$.*

Proof sketch: For the first move, the duplicator chooses a bijection $f : A \to B$ that guarantees $x \approx_{2^n-1}^{\mathcal{A}} f(x)$ for all x, and hence $x \sim_{2^n-1}^{\mathcal{A}} f(x)$. This is possible since $\mathcal{A} \leftrightarrows_{2^n-1} \mathcal{B}$. For each following move, the bijection for the duplicator is provided by Lemma 4.3. □

Since $(a_1,\ldots,a_n) \sim_1^{\mathcal{A},\mathcal{B}} (b_1,\ldots,b_n)$ implies that $\{(a_i,b_i) \mid i = 1,\ldots,n\}$ is a partial isomorphism $\mathcal{A} \to \mathcal{B}$, we obtain the following.

Corollary 4.7 *Let $\mathcal{A},\mathcal{B} \in$ STRUCT[σ], and $\mathcal{A} \leftrightarrows_{2^n-1} \mathcal{B}$. Then $\mathcal{A} \equiv_n^{bij} \mathcal{B}$. Consequently, $\mathcal{A}$ and $\mathcal{B}$ agree on all* FO[1], FO(**C**), *and* FO($Q_{\mathbf{u}}$) *sentences of quantifier rank up to n.* □

Recall [10] that structures $\mathcal{A}$ and $\mathcal{B}$ are (d,m)-*equivalent*, if for every isomorphism type τ of a d-neighborhood of a point, and for $n_{\mathcal{A}} = |\{a \in A \mid ntp_d^{\mathcal{A}}(a) = \tau\}|$ and $n_{\mathcal{B}} = |\{b \in B \mid ntp_d^{\mathcal{B}}(b) = \tau\}|$, either $n_{\mathcal{A}} = n_{\mathcal{B}} < m$, or $n_{\mathcal{A}}, n_{\mathcal{B}} \geq m$. The result below uses the separation property to improve $(3^{k-1},m)$-equivalence (from [10]) to $(2^{k-1},m)$-equivalence.

Proposition 4.8 *For every relational signature σ and every positive integers k and c, there exists a positive integer m such that $\mathcal{A} \equiv_k \mathcal{B}$, whenever $\mathcal{A},\mathcal{B} \in$ STRUCT$_c$[σ] are $(2^{k-1},m)$-equivalent.* □

[1] Neil Immerman's forthcoming book *Descriptive Complexity* proves the same bound for Hanf's condition for FO.

	$\mathcal{L}^{\omega}_{\infty\omega}$	$\mathcal{L}^{*}_{\infty\omega}(\mathbf{C})$
on ordered structures	expresses every property	expresses every property
on unordered structures	cannot count: has 0-1 law	does not have recursion mechanism: is local
relationship to other logics	subsumes fixpoint logics	subsumes counting logics

Figure 2: $\mathcal{L}^{\omega}_{\infty\omega}$ and $\mathcal{L}^{*}_{\infty\omega}(\mathbf{C})$: A comparison

Since $\mid S_{2r+1}(\vec{a}) \mid = \mid S_{2r+1}(\vec{b}) \mid$, there exists a bijection $g : A - S_{2r+1}(\vec{a}) \to A - S_{2r+1}(\vec{b})$ such that $ntp^{\mathcal{A}}_r(x) = ntp^{\mathcal{A}}_r(g(x))$ for all $x \in A - S_{2r+1}(\vec{a})$. We now define $\pi(x)$ to be $h(x)$ if $x \in S_{2r+1}(\vec{a})$ and $g(x)$ if $x \notin S_{2r+1}(\vec{a})$. Clearly, π is a permutation. If $x \in S_{2r+1}(\vec{a})$, then $S_r(\vec{a}x) \subseteq S_{3r+1}(\vec{a})$ and $S_r(\vec{b}h(x)) \subseteq S_{3r+1}(\vec{b})$; hence $\vec{a}x \approx_r \vec{b}\pi(x)$, because h is an isomorphism. If $x \notin S_{2r+1}(\vec{a})$, then for $y = \pi(x)$ we have $d(y, \vec{b}) > 2r+1$ and $x \approx_r y$. Thus, $N_r(\vec{a}x)$ and $N_r(\vec{b}y)$ are disjoint unions of isomorphic r-neighborhoods, and hence isomorphic. □

Now the proof of the theorem proceeds is by induction on the $\mathcal{L}^{\circ}_{\infty\omega}(\mathbf{C})$ formulae. We prove the only nontrivial case $\psi(\vec{y};\vec{j}) = \exists i x\ \varphi(x, \vec{y};\vec{j})$ here (assuming i is in $\vec{j}$). Let $n = \mathsf{rk}(\varphi)$; then $r = \mathsf{lr}(\varphi) \leq (3^n - 1)/2$. It suffices to show $\mathsf{lr}(\psi) \leq 3r+1$. Assume $\vec{a} \approx_{3r+1} \vec{b}$ and fix an arbitrary $\vec{j}_0$. Let $\mathcal{A} \models \psi(\vec{a};\vec{j}_0)$. Then for at least i distinct $c_1, \ldots, c_i$ we have $\mathcal{A} \models \varphi(c_l, \vec{a};\vec{j}_0), l = 1, \ldots, i$. From Lemma 3.8, get a permutation $\pi : A \to A$ such that $\vec{a}x \approx_r \vec{b}\pi(x)$, and let $d_l = \pi(c_l)$. Since $\mathsf{lr}(\varphi) = r$ and $\vec{a}c_l \approx_r \vec{b}d_l$, we get $\mathcal{A} \models \varphi(d_l, \vec{b};\vec{j}_0)$ for $l = 1, \ldots, i$. As all d_ls are distinct, $\mathcal{A} \models \psi(\vec{b};\vec{j}_0)$. The converse is identical. □

Corollary 3.9 FO($Q_{\mathbf{u}}$), FO($\mathbf{C}$) *and* FO *formulae are Gaifman-local, and* $\mathsf{lr}(\varphi) \leq (3^{\mathsf{qr}(\varphi)} - 1)/2$. *Furthermore,* $\mathcal{L}^{*}_{\infty\omega}(\mathbf{C})$ *(and thus* FO($Q_{\mathbf{u}}$), FO($\mathbf{C}$) *and* FO*) formulae without free second-sort variables have the bounded degree property.* □

Thus, $\mathcal{L}^{*}_{\infty\omega}(\mathbf{C})$ is indeed a good counterpart of $\mathcal{L}^{\omega}_{\infty\omega}$ if we want to address the issue of inexpressibility of recursive queries in counting logics: while $\mathcal{L}^{\omega}_{\infty\omega}$ subsumes fixpoint logics but cannot express nontrivial counting properties, $\mathcal{L}^{*}_{\infty\omega}(\mathbf{C})$ subsumes a number of counting logics, but lacks a recursion mechanism. Both logics express every property of ordered finite structures.

4 Quantitative analysis of locality

We introduce a new tool for providing a finer analysis of locality of counting logics. On the surface, it is very close to Gaifman-locality. However, the new definition accounts precisely for what is happening in a bijective game played on structures $\mathcal{A} \leftrightarrows_d \mathcal{B}$, and for the increase of locality rank of a formula with the addition of a new quantifier.

Let $\vec{x} = (x_1, \ldots, x_n)$, and let $\mathcal{I} = \{I_1, \ldots, I_m\}$ be a partition of $\{1, \ldots, n\}$. The subvector of $\vec{x}$ that consists of the components whose indices are in I_j is denoted by $\vec{x}^{\mathcal{I}}_j$.

Definition 4.1 *1) Let $\mathcal{I}$ be a partition $\{I_1, \ldots, I_m\}$ of $\{1, \ldots, n\}$. Let $r > 0$. Given two structures, $\mathcal{A}$ and $\mathcal{B}$, and $\vec{a} \in A^n, \vec{b} \in B^n$, we say that $\vec{a}$ and $\vec{b}$ are* $(\mathcal{I}, r)$-similar *if the following hold:*

- $ntp^{\mathcal{A}}_r(\vec{a}^{\mathcal{I}}_j) = ntp^{\mathcal{B}}_r(\vec{b}^{\mathcal{I}}_j)$ *for all* $j = 1, \ldots, m$;
- $d(\vec{a}^{\mathcal{I}}_j, \vec{a}^{\mathcal{I}}_k) > r$ *for all* $k \neq j$;
- $d(\vec{b}^{\mathcal{I}}_j, \vec{b}^{\mathcal{I}}_k) > r$ *for all* $k \neq j$.

We call $\vec{a}$ and $\vec{b}$ r-similar, *and write $\vec{a} \sim^{\mathcal{A},\mathcal{B}}_r \vec{b}$, if there exists a partition $\mathcal{I}$ such that $\vec{a}$ and $\vec{b}$ are $(\mathcal{I}, r)$-similar. If $\mathcal{A} = \mathcal{B}$, we write $\vec{a} \sim^{\mathcal{A}}_r \vec{b}$.*

3) A formula φ has the r-separation property *if $\vec{a} \sim^{\mathcal{A}}_r \vec{b}$ implies $\mathcal{A} \models \varphi(\vec{a}) \leftrightarrow \varphi(\vec{b})$. To extend this to two-sorted logics, we require $\varphi(\vec{a};\vec{j}_0) \leftrightarrow \varphi(\vec{b};\vec{j}_0)$ for every $\vec{j}_0 \subset \mathbb{N}$. A formula has the separation property iff it has the r-separation property for some finite r. We write $\mathsf{SP}(r)$ for the class of formulae that have the r-separation property.* □

Proposition 4.2 *1) A formula has the separation property iff it is Gaifman-local.*
2) If $\varphi \in \mathsf{SP}(r)$, then $\mathsf{lr}(\varphi) \leq r$.
3) $\vec{a} \approx_r \vec{b}$ implies $\vec{a} \sim_r \vec{b}$ and $\vec{a} \sim_{2r+1} \vec{b}$ implies $\vec{a} \approx_r \vec{b}$.
□

Thus, the essence of the new notion of locality is the same as Gaifman's. However, while $\vec{a} \approx_r \vec{b}$ implies $\vec{a} \sim_r \vec{b}$ (just by considering a one-set partition

- $\mathsf{rk}(\forall x\ \varphi) = \mathsf{rk}(\exists x\ \varphi) = \mathsf{rk}(\exists i x\ \varphi) = \mathsf{rk}(\varphi) + 1$.
- $\mathsf{rk}(\forall i\ \varphi) = \mathsf{rk}(\exists i\ \varphi) = \mathsf{rk}(\varphi)$.

Definition 3.1 *$\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ consists of those formulae in $\mathcal{L}_{\infty\omega}(\mathbf{C})$ that have finite rank.* □

Lemma 3.2 *1) $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ formulae are closed under Boolean connectives and all quantification.*
2) Every predicate on $\mathbb{N} \times \ldots \times \mathbb{N}$ is definable by a $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ formula of rank 0. □

Thus, we assume that $+, *, -, \leq$, and in fact *every* predicate on natural numbers is available. To give an example, we can express properties like: there is a node in the graph whose indegree i and outdegree j satisfy $p_i^2 > p_j$ where p_i stands for the ith prime. This is done by $\exists x \exists i \exists j.(i = \#y.E(y,x)) \wedge (j = \#y.E(x,y)) \wedge P(i,j)$ where P is the predicate on $\mathbb{N}$ for the property $p_i^2 > p_j$.

Known expansions of FO with counting properties are contained in $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$:

Proposition 3.3 *For every* FO, FO($\mathbf{C}$), *or* FO($Q_{\mathbf{u}}$) *formula, there exists an equivalent $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ formula of the same rank.* □

It can also be shown that counting logics defined in [4] are embeddable into $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$.

Definition 3.4 *The logic $\mathcal{L}^\circ_{\infty\omega}(\mathbf{C})$ is defined as $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ where counting terms $\#\vec{x}.\varphi$ are not allowed.* □

On the surface, $\mathcal{L}^\circ_{\infty\omega}(\mathbf{C})$ is a lot simpler than $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$, mainly because counting terms for vectors, $\#\vec{x}.\varphi$, are very convenient for defining complex counting properties. Also, $\mathcal{L}^\circ_{\infty\omega}(\mathbf{C})$ permits easier proofs by induction on the formulae; usually counting terms make such proofs much more complex. But it turns out that the power of $\mathcal{L}^\circ_{\infty\omega}(\mathbf{C})$ and $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ is identical. This is somewhat reminiscent of a result in [20] that shows how unary generalized quantifiers can be modeled by counting quantifiers in $\mathcal{L}^k_{\infty\omega}$.

Theorem 3.5 *There is a translation $\varphi \to \varphi^\circ$ of $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ formulae into $\mathcal{L}^\circ_{\infty\omega}(\mathbf{C})$ formulae such that φ and φ° are equivalent and $\mathsf{rk}(\varphi) = \mathsf{rk}(\varphi^\circ)$.*

In particular, for every FO, or FO($\mathbf{C}$), or FO($Q_{\mathbf{u}}$) formula, there exists an equivalent $\mathcal{L}^\circ_{\infty\omega}(\mathbf{C})$ formula of the same rank.

One can use the counting expressive power of $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ if one needs to show definability of some properties. At the same time, one can use $\mathcal{L}^\circ_{\infty\omega}(\mathbf{C})$ for proving expressivity bounds.

Definability over ordered structures By ordered structures, we mean that one of the relations on the finite model is $<$ interpreted as a linear ordering. With $<$, one can say that a given element of A is first, second, etc, element of A. Then unlimited counting power allows us to code finite structures with numbers, and we can easily show:

Proposition 3.6 *Every property of finite ordered structures is definable in $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$.* □

Thus, the situation is similar to $\mathcal{L}^\omega_{\infty\omega}$ which also expresses every property of finite ordered structures. But as with $\mathcal{L}^\omega_{\infty\omega}$, we will show that without an order, the power of $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ is severely limited.

Locality of $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ We give a simple and *direct* proof that $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ is Gaifman-local. We do not need to establish a Hanf-type locality result first, and we also improve the bound for locality rank.

Theorem 3.7 *Every $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ formula is Gaifman-local; furthermore, $\mathsf{lr}(\varphi) \leq \frac{3^{\mathsf{rk}(\varphi)}-1}{2}$.*

Proof sketch: We start with a lemma, that was proved in a rather complicated way in [22]; a simple proof is sketched below.

Lemma 3.8 *Assume that in $\mathcal{A}$, $\vec{a} \approx_{3r+1} \vec{b}$. Then there exists a permutation $\pi : A \to A$ such that $\vec{a}x \approx_r \vec{b}\pi(x)$ for every $x \in A$.*

Proof sketch of the lemma: Let τ be an isomorphism type of an r-neighborhood around a single point. Since we have an isomorphism $h : N_{3r+1}(\vec{a}) \to N_{3r+1}(\vec{b})$, we get that the number of points c in $S_{2r+1}(\vec{a})$ and $S_{2r+1}(\vec{b})$ with $ntp_r^{\mathcal{A}}(c) = \tau$ is the same; and thus

$$\begin{aligned} & |\{c \in A - S^{\mathcal{A}}_{2r+1}(\vec{a}) \mid ntp_r^{\mathcal{A}}(c) = \tau\}| \\ = \ & |\{c \in A - S^{\mathcal{B}}_{2r+1}(\vec{b}) \mid ntp_r^{\mathcal{A}}(c) = \tau\}| . \end{aligned}$$

$\mathcal{A} \leftrightarrows_d \mathcal{B}$. *The minimum d for which this holds is called* Hanf locality rank *of Φ, and is denoted by* $\mathsf{hlr}(\Phi)$.

b) (see [17]) *A formula $\varphi(\vec{x})$ is called* Hanf-local *if there exist a number $d \geq 0$ such that $(\mathcal{A}, \vec{a}) \leftrightarrows_d (\mathcal{B}, \vec{b})$ implies $\mathcal{A} \models \varphi(\vec{a})$ iff $\mathcal{B} \models \varphi(\vec{b})$.*

It is known [10] that $\mathcal{A} \leftrightarrows_d \mathcal{B}$ implies $\mathcal{A} \leftrightarrows_r \mathcal{B}$ for $r \leq d$; in particular, if $\mathcal{A} \leftrightarrows_d \mathcal{B}$, then $|A| = |B|$.

Fact 2.5 *a)* (see [10]) *If $\mathcal{A} \leftrightarrows_{3^n} \mathcal{B}$, then $\mathcal{A} \equiv_n \mathcal{B}$. In particular, $\mathcal{A}$ and $\mathcal{B}$ agree on all FO sentences of quantifier rank up to n.*

b) (see [26]; bound from [17]) *Let $n > 0$. Then $\mathcal{A} \leftrightarrows_{(3^n-1)/2} \mathcal{B}$ implies $\mathcal{A} \equiv_n^{bij} \mathcal{B}$.*

c) (see [17, 22]) *Every Hanf-local formula (without free second-sort variables, if one deals with a two-sorted logic) is Gaifman-local.* □

Next, we review results on outputs of local queries. With each formula $\varphi(x_1, \ldots, x_n)$ in the language σ, we associate a query that maps $\mathcal{A} \in \mathrm{STRUCT}[\sigma]$ into $\varphi[\mathcal{A}] = \{\vec{a} \in A^n \mid \mathcal{A} \models \varphi(\vec{a})\}$.

If $\mathcal{A} \in \mathrm{STRUCT}[\sigma]$, and R_i is of arity p_i, then $degree_j(R_i^{\mathcal{A}}, a)$ for $1 \leq j \leq p_i$ is the number of tuples $\vec{a}$ in $R_i^{\mathcal{A}}$ having a in the jth position. In the case of directed graphs, this gives us the usual notions of in- and out-degree. By $deg_set(\mathcal{A})$ we mean the set of all degrees realized in $\mathcal{A}$, and $deg(\mathcal{A})$ stands for the cardinality of $deg_set(\mathcal{A})$. We use the notation $\mathrm{STRUCT}_k[\sigma]$ for $\{\mathcal{A} \in \mathrm{STRUCT}[\sigma] \mid deg_set(\mathcal{A}) \subseteq \{0, 1, \ldots, k\}\}$.

Definition 2.6 (Bounded Degree Property) (see [24, 7, 22]) *A query q, that is, a function that maps $\mathcal{A} \in \mathrm{STRUCT}[\sigma]$ to an m-ary relation on A, $m \geq 1$, is said to have the* bounded degree property, *or* BDP, *if there exists a function $f_q : \mathbb{N} \to \mathbb{N}$ such that $deg(q(\mathcal{A})) \leq f_q(k)$ for every $\mathcal{A} \in \mathrm{STRUCT}_k[\sigma]$.* □

The intuition is that if $\mathcal{A}$ locally looks simple, then $q(\mathcal{A})$ has a simple structure as well. The BDP is very easy to use for proving expressivity bounds [24]. It is known [7] that every Gaifman-local query has the BDP. A simple proof of this can be given for formulae $\varphi(x, y)$, whose outputs $\varphi[\mathcal{A}]$ are directed graphs. Let $\#\mathsf{ntp}_d(\mathcal{A})$ stand for $|\{ntp_d^{\mathcal{A}}(a) \mid a \in A\}|$ – the number of different isomorphism types of d-neighborhoods of points realized in $\mathcal{A}$. The following result from [7] implies the BDP.

Fact 2.7 *Let $\varphi(x, y)$ be Gaifman-local and let $d = 3 \cdot \mathsf{lr}(\varphi) + 1$. Then, for any structure $\mathcal{A}$, $deg(\varphi[\mathcal{A}]) \leq 2 \cdot \#\mathsf{ntp}_d(\mathcal{A})$.* □

3 $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ and its locality

The goal of this section is to define the logic $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$, which is to counting extensions of FO what $\mathcal{L}^{\omega}_{\infty\omega}$ is to fixpoint extensions of FO. We then define a simpler version of this logic, $\mathcal{L}^{\circ}_{\infty\omega}(\mathbf{C})$, and show that no expressiveness is lost.

First, define $\mathcal{L}_{\infty\omega}(\mathbf{C})$, a two-sorted logic, that extends infinitary logic $\mathcal{L}_{\infty\omega}$. Its structures are of the form $(\mathcal{A}, \mathbb{N})$, where $\mathcal{A}$ is a finite relational structure, and $\mathbb{N}$ is a copy of natural numbers. Assume that every constant $n \in \mathbb{N}$ is a second-sort term. To $\mathcal{L}_{\infty\omega}$, add the following:

Counting terms: If φ is a formula and $\vec{x}$ is a vector of free first-sort variables in φ, then $\#\vec{x}.\varphi$ is a term of the second sort, and its free variables are those in φ except $\vec{x}$. Its interpretation is the number of $\vec{a}$ over the finite first-sort universe that satisfy φ. That is, given a structure $\mathcal{A}$, a formula $\varphi(\vec{x}, \vec{y}; \vec{j})$ and vectors $\vec{b} \subseteq A$ and $\vec{j}_0 \subset \mathbb{N}$, the value of the term $\#\vec{x}.\varphi(\vec{x}, \vec{b}; \vec{j}_0)$ is the cardinality of the (finite) set $\{\vec{a} \subseteq A \mid \mathcal{A} \models \varphi(\vec{a}, \vec{b}; \vec{j}_0)\}$.

Counting quantifiers: If φ is a formula and $i \in \mathbb{N}$, then $\exists i x\ \varphi$ is a formula. Its free variables are those in φ except x.

The logic $\mathcal{L}_{\infty\omega}(\mathbf{C})$ is enormously powerful: it can define *every* property of finite models, and *every* predicate or function on $\mathbb{N}$. The definition is also redundant: for example, $\exists i x\ \varphi$ can be replaced by $\#x.\varphi \geq i$. However, we need counting quantifiers separately, as will become clear soon.

Next, we restrict the logic by defining the *rank* of a formula, $\mathsf{rk}(\varphi)$. Its definition is similar to that of quantifier rank, and for FO, FO($\mathbf{C}$) and FO($Q_{\mathbf{u}}$) formulae, $\mathsf{rk}(\varphi) = \mathsf{qr}(\varphi)$. The difference is that we disregard quantification over $\mathbb{N}$, thus allowing arbitrary nesting of such quantifiers. For each atomic φ or variable or constant term, rank is 0. For other formulae and terms, it is defined as follows.

- $\mathsf{rk}(\#\vec{x}.\varphi) = \mathsf{rk}(\varphi) + |\vec{x}|$.
- $\mathsf{rk}(\bigvee \varphi_i) = \mathsf{rk}(\bigwedge \varphi_i) = \max_i \mathsf{rk}(\varphi_i)$.

from a fixed set $\{x_1, \ldots, x_k\}$ is denoted by $\mathcal{L}^k_{\infty\omega}$, and $\mathcal{L}^\omega_{\infty\omega}$ is the infinitary logic with finitely many variables: $\mathcal{L}^\omega_{\infty\omega} = \bigcup_{k<\omega} \mathcal{L}^k_{\infty\omega}$.

The *quantifier rank* of a formula φ, $\mathsf{qr}(\varphi)$ is the depth of quantifier nesting in φ. For FO(**C**), we do not count quantifiers over the numerical domain.

Games We review some results on game characterization of logics. The Ehrenfeucht-Fraïssé game (cf. [8]), is played by two players, called the spoiler and the duplicator, on two structures $\mathcal{A}, \mathcal{B} \in \mathrm{STRUCT}[\sigma]$. In each round i, the spoiler selects either a point $a_i \in A$, or $b_i \in B$, and the duplicator responds by selecting $b_i \in B$, or $a_i \in A$, respectively. The duplicator wins after n rounds if the relation $\{(a_i, b_i) \mid 1 \le i \le n\}$ is a partial isomorphism $\mathcal{A} \to \mathcal{B}$; otherwise the spoiler wins. If the duplicator has a winning strategy in the n-move game on $\mathcal{A}$ and $\mathcal{B}$, we write $\mathcal{A} \equiv_n \mathcal{B}$. It is well known (cf. [8]) that $\mathcal{A} \equiv_n \mathcal{B}$ iff $\mathcal{A}$ and $\mathcal{B}$ agree on all FO sentences of quantifier rank up to n.

A stronger version of the game, called *bijective Ehrenfeucht-Fraïssé game*, was introduced in [16]. Again, the spoiler and the duplicator play on two structures $\mathcal{A}, \mathcal{B} \in \mathrm{STRUCT}[\sigma]$. For the n-round game, in each round $i = 1, \ldots, n$, the duplicator selects a bijection $f_i : A \to B$, and the spoiler selects a point $a_i \in A$ (if $card(A) \neq card(B)$, then the spoiler wins). The winning condition is the same: if after the last round the relation $\{(a_i, f_i(a_i)) \mid 1 \le i \le n\}$ is a partial isomorphism $\mathcal{A} \to \mathcal{B}$, then the duplicator wins; otherwise the spoiler wins. If the duplicator has a winning strategy in the n-move bijective game on $\mathcal{A}$ and $\mathcal{B}$, we write $\mathcal{A} \equiv^{bij}_n \mathcal{B}$. Bijective games characterize expressivity of FO($Q_{\mathbf{u}}$) and FO(**C**) as follows.

Fact 2.1 ([9, 16]) *Let $\mathcal{A}, \mathcal{B} \in \mathrm{STRUCT}[\sigma]$. If $\mathcal{A} \equiv^{bij}_n \mathcal{B}$, then $\mathcal{A}$ and $\mathcal{B}$ agree on all sentences of* FO($Q_{\mathbf{u}}$) *(or* FO(**C**)*) of quantifier rank up to n.* □

We write $(\mathcal{A}, \vec{a}) \equiv_n (\mathcal{B}, \vec{b})$ (or $(\mathcal{A}, \vec{a}) \equiv^{bij}_n (\mathcal{B}, \vec{b})$) if the duplicator has a winning strategy in the n-move (bijective) game that starts with the position $(\vec{a}, \vec{b})$. This condition implies that for a FO (or FO($Q_{\mathbf{u}}$)) formula $\varphi(\vec{x})$ of quantifier rank n, $\mathcal{A} \models \varphi(\vec{a})$ iff $\mathcal{B} \models \varphi(\vec{b})$.

Locality Given a structure $\mathcal{A}$, its *Gaifman graph* [8, 11, 10] $\mathcal{G}(\mathcal{A})$ is defined as $\langle A, E\rangle$ where (a, b) is in E iff there is a tuple $\vec{c} \in R^{\mathcal{A}}_i$ for some i such that both a and b are in $\vec{c}$. The distance $d(a, b)$ is defined as the length of the shortest path from a to b in $\mathcal{G}(\mathcal{A})$; we assume $d(a, a) = 0$. If $\vec{a} = (a_1, \ldots, a_n)$ and $\vec{b} = (b_1, \ldots, b_m)$, then $d(\vec{a}, \vec{b}) = \min_{ij} d(a_i, b_j)$. Given $\vec{a}$ over A, its *r-sphere* $S^{\mathcal{A}}_r(\vec{a})$ is $\{b \in A \mid d(\vec{a}, b) \le r\}$. Its *$r$-neighborhood* $N^{\mathcal{A}}_r(\vec{a})$ is defined as a σ_n structure

$$\langle S^{\mathcal{A}}_r(\vec{a}), R^{\mathcal{A}}_1 \cap S^{\mathcal{A}}_r(\vec{a})^{p_1}, \ldots, R^{\mathcal{A}}_k \cap S^{\mathcal{A}}_r(\vec{a})^{p_k}, a_1, \ldots, a_n\rangle$$

That is, the carrier of $N^{\mathcal{A}}_r(\vec{a})$ is $S^{\mathcal{A}}_r(\vec{a})$, the interpretation of the σ-relations is inherited from $\mathcal{A}$, and the n extra constants are the elements of $\vec{a}$. If $\mathcal{A}$ is understood, we write $S_r(\vec{a})$ and $N_r(\vec{a})$.

Given a tuple $\vec{a}$ of elements of A, and $d \ge 0$, by $ntp^{\mathcal{A}}_d(\vec{a})$ we denote the isomorphism type of $N^{\mathcal{A}}_d(\vec{a})$. For example, $ntp^{\mathcal{A}}_d(\vec{a}) = ntp^{\mathcal{B}}_d(\vec{b})$ means that there is an isomorphism $N^{\mathcal{A}}_d(\vec{a}) \to N^{\mathcal{A}}_d(\vec{b})$ that sends $\vec{a}$ to $\vec{b}$; in this case we will also write $\vec{a} \approx^{\mathcal{A},\mathcal{B}}_d \vec{b}$. If $\mathcal{A} = \mathcal{B}$, we write $\vec{a} \approx^{\mathcal{A}}_d \vec{b}$. Given tuples $\vec{a} = (a_1, \ldots, a_n)$ and $\vec{b} = (b_1, \ldots, b_m)$, and an element c, we write $\vec{a}\vec{b}$ for the tuple $(a_1, \ldots, a_n, b_1, \ldots, b_m)$, and $\vec{a}c$ for $(a_1, \ldots, a_n, c)$.

Definition 2.2 (Gaifman-locality) (cf. [22]) *A formula $\varphi(\vec{x}; \vec{j})$ in a two-sorted logic is called* Gaifman-local *if there exists a number $r \ge 0$ such that, for any structure $\mathcal{A}$ and any $\vec{a}, \vec{b}$ over A,*

$$\vec{a} \approx^{\mathcal{A}}_r \vec{b} \quad \textit{implies} \quad \mathcal{A} \models \varphi(\vec{a}; \vec{\imath}) \ \textit{ iff } \ \mathcal{A} \models \varphi(\vec{b}; \vec{\imath})$$

for all $\vec{\imath} \subset \mathbb{N}$. The minimum such r is called the locality rank *of φ, and is denoted by $\mathsf{lr}(\varphi)$.* □

Fact 2.3 *a)* (see [11]) *Every FO formula $\varphi(\vec{x})$ is Gaifman-local, and $\mathsf{lr}(\varphi) \le (7^{\mathsf{qr}(\varphi)} - 1)/2$.*

b) (see [22]) *Every* FO($Q_{\mathbf{u}}$) *or* FO(**C**) *formula $\varphi(x_1, \ldots, x_n)$ (without free second-sort variables) is Gaifman-local, and $\mathsf{lr}(\varphi) \le 3^{\mathsf{qr}(\varphi)+n} + 1$.* □

For $\mathcal{A}, \mathcal{B} \in \mathrm{STRUCT}[\sigma]$, we write $\mathcal{A} \leftrightarrows_d \mathcal{B}$ if there exists a bijection $f : A \to B$ such that $ntp^{\mathcal{A}}_d(a) = ntp^{\mathcal{B}}_d(f(a))$ for every $a \in A$. That is, every isomorphism type of a d-neighborhood of a point has equally many realizers in $\mathcal{A}$ and $\mathcal{B}$. We write $(\mathcal{A}, \vec{a}) \leftrightarrows_d (\mathcal{B}, \vec{b})$ if there is a bijection $f : A \to B$ such that $ntp^{\mathcal{A}}_d(\vec{a}c) = ntp^{\mathcal{B}}_d(\vec{b}f(c))$ for every $c \in A$.

Definition 2.4 (Hanf-locality) *a)* (see [15, 10, 22]) *A sentence Φ is called* Hanf-local *if there exist a number $d \ge 0$ such that $\mathcal{A}$ and $\mathcal{B}$ agree on Φ whenever*

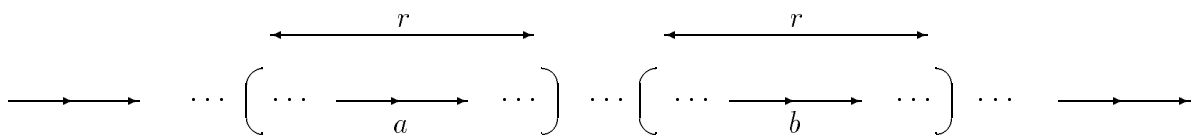

Figure 1: A local formula cannot distinguish (a, b) from (b, a).

to the tightest condition that the duplicator needs to maintain in an Ehrenfeucht-Fraïssé game (or a bijective game [16]) in order to win. Based on the separation property, we calculate, in Section 5, the *exact* value of the maximum radius for all the formulae of rank n. We do it for two forms of locality, based on Hanf's and Gaifman's conditions, and we show that in both cases the maximum radii are the same for all the counting logics listed above.

In Section 6, we consider open local formulae as queries that map finite structures to finite structures. Extending a result from [7], we prove a bound on the number of different degrees realized in the output of a local query, and apply it to counting logics, thereby connecting this measure of "complexity" of the output with the syntactic parameters of a query. In Section 7, we prove analogs of Gaifman's theorem [11] for FO and FO($Q_{\mathbf{u}}$) when structures are of small degree. The restriction allows us to use the best possible bounds in the statements of those theorems. The proof relies on new locality-based conditions that provide winning strategies for the duplicator. Concluding remarks are given in Section 8.

Complete proofs are given in the full version [23].

2 Notations

Finite Structures and Logics All structures are assumed to be *finite*. A relational signature σ is a set of relation symbols $\{R_1, \ldots, R_l\}$, with associated arities $p_i > 0$. We write σ_n for σ extended with n new constant symbols. A σ-structure is $\mathcal{A} = \langle A, R_1^{\mathcal{A}}, \ldots, R_l^{\mathcal{A}} \rangle$, where A is a finite set, and $R_i^{\mathcal{A}} \subseteq A^{p_i}$ interprets R_i. The class of finite σ-structures is denoted by STRUCT$[\sigma]$. When there is no confusion, we write R_i in place of $R_i^{\mathcal{A}}$. Isomorphism is denoted by $\cong$. The carrier of a structure $\mathcal{A}$ is always denoted by A and the carrier of $\mathcal{B}$ is denoted by B.

We abbreviate first-order logic by FO. *FO with counting*, denoted by FO($\mathbf{C}$), is a two-sorted logic, with second sort being the sort of natural numbers. That is, a structure $\mathcal{A}$ is of the form

$$\langle \{v_1, \ldots, v_n\}, \{1, \ldots, n\}, <, \mathrm{BIT}, \underline{1}, \underline{n}, R_1^{\mathcal{A}}, \ldots, R_l^{\mathcal{A}} \rangle.$$

Here the relations $R_i^{\mathcal{A}}$ are defined on the domain $\{v_1, \ldots, v_n\}$, while on the numerical domain $\{1, \ldots, n\}$ one has $\underline{1}, \underline{n}, <$ and the BIT predicate available (BIT(i, j) iff the ith bit in the binary representation of j is one). This logic also has *counting quantifiers* $\exists i x.\varphi(x)$, meaning that φ has at least i satisfiers; here i refers to the numerical domain and x to the domain $\{v_1, \ldots, v_n\}$. These quantifiers bind x but not i. Ternary predicates $+$ and $*$ are definable on the numerical domain [9], as is the quantifier $\exists! i x$ meaning the existence of exactly i satisfiers. For example, $\exists i \exists j\ [(j + j) = i \wedge \exists! i x.\varphi(x)]$ tests if the number of x satisfying φ is even; this property is not definable in FO alone. We separate first-sort variables from second-sort variables by semicolon: $\varphi(\vec{x}; \vec{j})$.

Let σ_k^{unary} be a signature of k unary symbols, and let $\mathcal{K}$ be a class of σ_k^{unary}-structures which is closed under isomorphisms. Then FO($Q_{\mathcal{K}}$) extends the set of formulae of FO with the following additional rule: if $\psi_1(x_1, \vec{y}_1), \ldots, \psi_k(x_k, \vec{y}_k)$ are formulae, then $Q_{\mathcal{K}} x_1 \ldots x_k.(\psi_1(x_1, \vec{y}_1), \ldots, \psi_k(x_k, \vec{y}_k))$ is a formula. Here $Q_{\mathcal{K}}$ binds x_i in the ith formula, for each $i = 1, \ldots, k$. A free occurrence of a variable y in $\psi_i(x_i, \vec{y}_i)$ remains free in this new formula unless $y = x_i$. The semantics is defined as follows: $\mathcal{A} \models Q_{\mathcal{K}} x_1 \ldots x_k.(\psi_1(x_1, \vec{a}_1), \ldots, \psi_k(x_k, \vec{a}_k))$ iff

$$(A, \psi_1[\mathcal{A}, \vec{a}_1], \ldots, \psi_k[\mathcal{A}, \vec{a}_k]) \in \mathcal{K},$$

where $\psi_i[\mathcal{A}, \vec{a}_i] = \{a \in A \mid \mathcal{A} \models \psi_i(a, \vec{a}_i)\}$. In this definition, $\vec{a}_i$ is a tuple of parameters that gives the interpretation for those free variables of $\psi_i(x_i, \vec{y}_i)$ which are not equal to x_i. Examples include the usual $\exists$ and $\forall$, as well as Rescher and Härtig quantifiers. We use the notation FO($\mathbf{Q}_u$) for FO extended with *all* unary quantifiers.

We denote the infinitary logic by $\mathcal{L}_{\infty\omega}$; it extends FO by allowing infinite conjunctions $\bigwedge$ and disjunctions $\bigvee$. The class of $\mathcal{L}_{\infty\omega}$ formulae that only use variables

power of counting logics, and most were proved very recently. For example, [9] used the games of [19] to prove that an L-complete problem is not definable in FO(**C**); this implies that connectivity of finite graphs is not definable in FO(**C**). In [18], nondefinability of connectivity is shown for FO($Q_{\mathbf{u}}$). More bounds were obtained in [22], which used the results of [26] to prove an analog of Gaifman's locality theorem [11] for those logics.

Currently, most bounds for extensions of FO with various counting quantifiers can be derived from its *local properties*, as shown in [17, 22, 26]; exceptions include the bound of [5], a result in [4] on counting the sizes of equivalence classes, and the hierarchy result in [14]. Locality of a logic gives us a general statement that it *lacks a recursion mechanism*, much in the same way as 0-1 laws tell us that a logic cannot express nontrivial counting properties. One way in which locality theorems are applied is the following. First, a form of locality based on Hanf's condition (see [10, 15]) is shown for a logic; this form is closely tied to a game-characterization of the logic. Then results of [17, 22] show that the logic also satisfies Gaifman's locality condition [11] and the bounded degree property [24], which are much easier to apply to prove expressivity bounds. However, no direct proofs of those conditions have been given so far for any of the extensions of FO.

The basic idea of locality is shown in Figure 1. A formula, say $\varphi(x, y)$ is local, if it can only "see" from x and y as far as a neighborhood of radius r, where r only depends on φ. In the graph of a successor relation, it means that pairs (a, b) and (b, a) are indistinguishable by $\varphi(x, y)$, if the successor relation is long enough, and a, b are far away from the endpoints and each other – this is because no point can be "seen" from both a and b, if we can only see up to the distance r. In particular, transitive closure, that distinguishes (a, b) from (b, a), is not definable in a local logic. In general, recursive computation gives one a means of verifying global properties of structures, and most properties requiring such form of computation can easily be shown to violate one of the forms of locality.

We now describe the three main themes of the paper, and outline the results.

$\angle$ *A General Framework for Counting*. While there are a number of counting extensions of first-order logic, we still lack a unifying framework for adding counting to FO. For example, the extension with counting quantifiers [9, 19] puts limits on available arithmetic, while the extension with unary quantifiers does not permit free numerical variables; as a result, expressing some simple properties becomes a nontrivial task, with the resulting formulae being unnecessarily awkward. It appears that we need a general framework that subsumes all these logics, and is at the same time easy to study. We introduce such a logic, called $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$, in Section 3. It is obtained by first adding counting terms and quantifiers to the infinitary logic $\mathcal{L}_{\infty\omega}$ over two-sorted structures (the second sort being interpreted as $\mathbb{N}$), and then restricting it to formulae of finite rank. The idea of putting the set of natural numbers "on the side" is influenced by metafinite model theory of [12]. Similar extensions exists in the literature [13, 14, 27], but they restrict the logic by means of the number of variables, which still permits fixpoint computation. In contrast, following [16, 17], we restrict the logic by requiring that the rank of a formula be finite (where the rank is defined as quantifier rank, except that it does not take into account quantifiers over $\mathbb{N}$), thus putting no limits at all on the available arithmetic. We give a simplified version of $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$, called $\mathcal{L}^\circ_{\infty\omega}(\mathbf{C})$; it is obtained by disallowing counting terms. This makes the logic easier to analyze, and we prove that no power is lost due to this restriction.

$\angle$ *Proving Locality*. How does one prove that formulae in a counting logic (e.g., FO(**C**), FO($Q_{\mathbf{u}}$), $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$) only express local properties, as shown in Figure 1? Currently, with the exception of FO, such results are established via Hanf's criterion [10, 15] that relates the number of isomorphism types of small neighborhoods in two structures. This criterion is closely tied to a game characterization of a logic, and may not work if such a characterization does not exist. Also, one needs to adjust the implication results for two-sorted logics. Here, we show that locality of $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$ and other counting logics can be proved *directly*, without this unnecessary detour.

$\angle$ *Theory of Local Properties*. There are a number of issues in the theory of local properties that one has to deal with once the locality of a logic is proved. One is the question about the radius of a neighborhood that determines the truth value of a formula. For the example in Figure 1, one may ask how r depends on φ. It is known how to find FO formulae with r being $O(2^{\mathsf{qr}(\varphi)})$. Here we show that $O(2^{\mathsf{qr}(\varphi)})$ is also the upper bound for many counting logics, including FO(**C**), FO($Q_{\mathbf{u}}$) and $\mathcal{L}^*_{\infty\omega}(\mathbf{C})$. To prove this, we introduce a new form of locality, called the *separation property*, in Section 4. It corresponds, intuitively,

On Counting Logics and Local Properties

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Abstract

The expressive power of first-order logic over finite structures is limited in two ways: it lacks a recursion mechanism, and it cannot count. Overcoming the first limitation has been a subject of extensive study. A number of fixpoint logics have been introduced, and shown to be subsumed by an infinitary logic $\mathcal{L}^{\omega}_{\infty\omega}$. This logic is easier to analyze than fixpoint logics, and it still lacks counting power, as it has a 0-1 law. On the counting side, there is no analog of $\mathcal{L}^{\omega}_{\infty\omega}$. There are a number of logics with counting power, usually introduced via generalized quantifiers. Most known expressivity bounds are based on the fact that counting extensions of first-order logic preserve the locality properties.

This paper has three main goals. First, we introduce a new logic $\mathcal{L}^{*}_{\infty\omega}(\mathbf{C})$ that plays the same role for counting as $\mathcal{L}^{\omega}_{\infty\omega}$ does for recursion – it subsumes a number of extensions of first-order logic with counting, and has nice properties that make it easy to study. Second, we give a simple direct proof that $\mathcal{L}^{*}_{\infty\omega}(\mathbf{C})$ expresses only local properties: those that depend on the properties of small neighborhoods, but cannot grasp a structure as a whole. This is a general way of saying that a logic lacks a recursion mechanism. Third, we consider a finer analysis of locality of counting logics. In particular, we address the question of how local a logic is, that is, how big are those neighborhoods that local properties depend on. We get a uniform answer for a variety of logics between first-order and $\mathcal{L}^{*}_{\infty\omega}(\mathbf{C})$. This is done by introducing a new form of locality that captures the tightest condition that the duplicator needs to maintain in order to win a game. We use this technique to give bounds on outputs of $\mathcal{L}^{*}_{\infty\omega}(\mathbf{C})$-definable queries. We also specialize some of the results for structures of small degree.

1 Introduction

The expressive power of first-order logic (FO) on finite structures is rather limited. Two main limitations of first-order logic are its *inability to count* and the *lack of a recursion mechanism*. Since first-order logic over finite structures plays an important role in several areas of computer science (e.g., databases and complexity), various extensions have been proposed to deal with these shortcomings.

On the recursion side, a beautiful theory has been developed over the past decade. Various fixpoint extensions of first-order logic have been introduced, including least, inflationary and partial fixpoint logics, as well as transitive closure logics, cf. [1, 8]. Fixpoint logics can all be embedded into $\mathcal{L}^{\omega}_{\infty\omega}$, infinitary logic with finitely many variables, which is much easier to analyze. In particular, $\mathcal{L}^{\omega}_{\infty\omega}$ has a 0-1 law [21], which gives a uniform derivation of the 0-1 law for all fixpoint logics. It follows that $\mathcal{L}^{\omega}_{\infty\omega}$ cannot express most counting properties, such as parity of cardinality. The theory extends nicely to the ordered setting, where transitive closure and fixpoint logics capture familiar complexity classes such as L, NL, PTIME and PSPACE (cf. [8]), and $\mathcal{L}^{\omega}_{\infty\omega}$ expresses every property of finite structures [6].

On the counting side, much less is known. Various extensions of first-order logic with counting exist, usually introduced by means of generalized quantifiers [20]. Examples include Härtig (equicardinality), Rescher (majority) quantifier, and counting quantifiers $\exists i x \varphi(x, \cdot)$, that assert the existence of at least i elements x that satisfy φ, see [9, 19]. We denote the extension with counting quantifiers $\exists i$ by FO($\mathbf{C}$). Alternatively, one can add counting terms [13, 14]. In [16], FO($Q_{\mathbf{u}}$), first-order logic extended with *all* unary generalized quantifiers, is considered.

There are relatively few results on the expressive